

Scranton OECD

Office of Economic and
Community Development

June 2, 2009

Mr. Nadab O. Bynum
Director
Office of Community Planning and Development
The Wanamaker Building
1 Penn Square East
Philadelphia, Pennsylvania 19107-3380

Re: Scranton, Pennsylvania
Substantial Amendment to the Consolidated Plan/Action Plan 2008
Community Development Block Grant Program – Recover (CDBG-R)
\$916,485.00

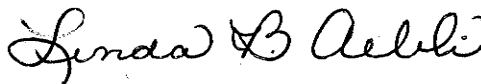
Dear Mr. Bynum:

Attached please find the Substantial Amendment to the Consolidated Plan/Action Plan 2008 along with the application for the Community Development Block Grant Program – Recover (CDBG-R) in the amount of \$916,485.00. Attached are the required documents:

1. A completed and signed Standard Form SF-424
2. A completed and signed Substantial Amendment using form HUD-40119
3. CDBG-R Substantial Amendment Checklist
4. Description of Each Activity
5. Spreadsheet for Reporting Proposed CDBG-R Activities
6. Signed copies of all required certifications

Please review our application and if you need further information please do not hesitate to contact me at 570/348-4216 or email Laebli@scrantonpa.gov.

Sincerely,



Linda B. Aebli
Executive Director

Lba/

Cc: Mr. Steven Stein
CPD - U. S. Department of Housing and Urban Development
The Wanamaker Building, 1 Penn Square East
Philadelphia, Pennsylvania 19107-3380

Mr. Kevin Mitchell, Director of Finance and Compliance, OECD

Scranton, Pennsylvania

Substantial Amendment to the Consolidated Plan/Action Plan 2008

**Community Development Block Grant – Recovery Program
(CDBG-R)**

\$916,485.00

**Submitted by: City of Scranton, Pennsylvania
Office of Economic and Community Development (OECD)
538 Spruce Street
Suite 812
Scranton, Pennsylvania 18503
Phone: 570/348-4216
Fax: 570/348-4123**

**Linda B. Aebli, Executive Director
Laebli@scrantonpa.gov**

**Substantial Amendment to the Consolidated Plan 2008 Action Plan for the
Community Development Block Grant – Recovery Program (CDBG-R)**

A. General Information

Grantee Name	City of Scranton, Pennsylvania
Name of Entity or Department Administering Funds	Office of Economic and Community Development
HPRP Contact Person (person to answer questions about this amendment and HPRP)	Linda B. Aebli
Title	Executive Director
Address Line 1	538 Spruce Street
Address Line 2	Suite 812
City, State, Zip Code	Scranton, Pennsylvania 18503
Telephone	570/348-4216
Fax	570/348-4123
Email Address	Laebli@scrantonpa.gov
Authorized Official (if different from Contact Person)	Mayor Christopher A. Doherty
Title	Mayor
Address Line 1	340 North Washington Avenue
Address Line 2	City Hall
City, State, Zip Code	Scranton, Pennsylvania 18503
Telephone	570/348-4101
Fax	570/348-4251
Email Address	N/A
Web Address where this Form is Posted	www.scrantonpa.gov

Amount Grantee is Eligible to Receive*	\$916,485.00
Amount Grantee is Requesting	\$916,485.00

**Substantial Amendment to the Consolidated Plan 2008 Action Plan for the
Community Development Block Grant – Recovery Program (CDBG-R)**

B. Citizen Participation and Public Comment

1. Briefly describe how the grantee followed its citizen participation plan regarding this proposed substantial amendment (limit 250 words).

Response: Scranton advertised “Notice of Public Hearing” in the general circulation section of the Scranton Times Tribune on Wednesday, April 22, 2009 and Monday, April 27, 2009. The Notice is as follows:

NOTICE OF PUBLIC HEARING

**The Federal American Recovery and Reinvestment Act of 2009 (Recovery Act)
For The “Homeless Prevention and Rapid Re-Housing Program” (HPRP)
And Community Development Block Grant – Recovery (CDBG-R)**

Notice is hereby given that the City of Scranton’s Office of Economic and Community Development (OECD) is soliciting public comment for the following; 1) substantial amendment to the City of Scranton’s Consolidated Plan and the 2008 Annual Action Plan for new funding under Title XII of the American Recovery and Reinvestment Act of 2009 (“Recovery Act”) for the Homeless Prevention and Rapid Re-Housing Program (HPRP) and the Community Development Block Grant – Recovery (CDBG-R).

The Public Hearing will be held on **Wednesday, April 29, 2009 at 4:00 P.M.** in Scranton City Council Chambers, City Hall, 340 North Washington Avenue, Scranton, Pennsylvania 18503.

The Substantial Amendment to the Consolidated Plan/ Action Plan 2008 will guide the use of \$1,401,868 of Homeless Prevention and Rapid Re-Housing Program (HPRP) funding to provide financial assistance and services to prevent individuals and families from becoming homeless and help those who are experiencing homelessness to be quickly re-housed and stabilized. Funding comes from the U. S. Department of Housing and Urban Development (HUD).

It also guides the use of the Community Development Block Grant – Recovery (CDBG-R) funding for \$916,485. HUD expects to issue a CDBG-R Notice to provide additional guidelines and requirements for the use of the CDBG-R funding in the near future.

Citizens are encouraged to review and comment on this proposed substantial amendment. The amendment is available for review and comment from Thursday, April 23, 2009 through Monday, May 11, 2009. Comments received will be taken into consideration prior to adoption of the proposed amendment. The Public can review the substantial amendment online at www.scrantonpa.gov/oecd.html or calling OECD at 570/348-4216.

**Substantial Amendment to the Consolidated Plan 2008 Action Plan for the
Community Development Block Grant – Recovery Program (CDBG-R)**

Comments must be in writing and must be received by the Office of Economic and Community Development (OECD), 538 Spruce Street, Suite 812, Scranton, Pennsylvania 18503 no later than 4:30 P.M. on Monday, May 11, 2009 or email to oeed@scrantonpa.gov.

ADA Notice: The City of Scranton strives to provide access to all members of the public. City/OECD will make reasonable accommodations to make it possible for you to come and be active in the public hearing. If you need assistance such as a sign language interpreter, please contact OECD at 570/348-4216 (voice) or 570/346-5928 (TDD/TTY) at least three days prior to the hearing.

Christopher A. Doherty
Mayor

Linda B. Aebli
Executive Director
OECD

2. Provide the appropriate response regarding this substantial amendment by checking one of the following options:
 - Grantee did not receive public comments.
 - Grantee received and accepted all public comments.
 - Grantee received public comments and did not accept one or more of the comments.
3. Provide a summary of the public comments regarding this substantial amendment. Include a summary of any comments or views not accepted and the reasons for non-acceptance.

Response: Fourteen (14) persons attended the Public Hearing. This included six (6) City of Scranton Office of Economic and Community Development staff members, seven (7) from non-profit organizations that is part of the Continuum of Care and one (1) City of Scranton resident. The Public Hearing Comments for both the HRHP and CDBG-R are attached to this application along with the sign in sheets.

C. Distribution and Administration of Funds

Reminder: The CDBG-R grant will be made by means of a grant agreement executed by HUD and the grantee. The three-year deadline to expend funds begins when HUD signs the grant agreement. Grantees should ensure that sufficient planning is in place to begin to expend funds shortly after grant agreement.

1. Check the process(es) that the grantee plans to use to select subgrantees. Note that a sub-grantee is defined as the organization to which the grantee provides CDBG-R funds.

**Substantial Amendment to the Consolidated Plan 2008 Action Plan for the
Community Development Block Grant – Recovery Program (CDBG-R)**

- Competitive Process
- Formula Allocation
- Other (Specify: 2005-2009 Consolidated Plan Priorities)

2. Briefly describe the process(es) indicated in question 1 above (limit 250 words).

Response: The proposed activities that will include infrastructure for two activities and two activities for economic development insulating in job creation.

3. Briefly describe the process the grantee plans to use, once HUD signs the grant agreement, to allocate funds available to sub-grantees within 120 days.

Response: The City of Scranton has designated a lead staff person along with the Executive Director and the Director of Finance and Compliance within the Office of Economic and Community Development (OECD) to coordinate the effective and timely use of CDBG-R funds. Scranton will sub-grant two out of the four activities planned using CDBG-R funding for economic development that will result in job creation. The two other activities will be carried out by the City/OECD in the same manner as the CDBG funding.

4. Describe the grantee's plan for ensuring the effective and timely use of CDBG-R grant funds on eligible activities, as outlined in the CDBG-R Notice. Include a description of how the grantee plans to oversee and monitor the administration and use of its own CDBG-R funds, as well as those used by its subgrantees (limit 500 words).

Response: The City of Scranton is aware of the urgent nature of the CDBG-R funding and will comply with the funding commitment requirements. The City will designate a lead staff person within the Office of Economic and Community Development (OECD) to oversee the design and bidding process for each project and work with the contractors to ensure that each project schedule are maintained, bidding procedures and Davis Bacon Prevailing Wage requirements in order to use the CDBG-R funds in a timely manner.

The City of Scranton OECD office has experience in utilizing the IDIS system for other federal grant programs and has monitored other federally funded programs such as ESG and CDBG. The City of Scranton OECD office will have systems and internal controls in place to separately track and report on funds and activities per the federal requirements.

**Substantial Amendment to the Consolidated Plan 2008 Action Plan for the
Community Development Block Grant – Recovery Program (CDBG-R)**

D. Estimated Budget Summary

HUD requires the grantee to complete the following table so that participants in the citizen participation process may see the grantee's preliminary estimated amounts for various CDBG-R activities. Enter the estimated budget amounts for each activity in the appropriate column and row. The grantee will be required to report actual amounts in subsequent reporting.

Activity Name	
Paving of City Streets that includes Handicap curb cuts	CDBG-R funds will be used to implement the City's commitment to state-of-good infrastructure. Paving of approximately 22 blocks to include handicap curb cuts.
CDBG-R	\$300,000.00
Eligibility Category	City of Scranton's CDBG-R funds will be invested in infrastructure that will quickly spur employment through construction
National Objective	Low and moderate income benefit

Active Name	
Crisp Avenue Bridge	CDBG-R funds in conjunction with FEMA funding to repair a bridge that was damaged in a flood zone.
CDBG-R	\$150,000.00
Eligibility Category	City of Scranton's CDBG-R funds will be invested in infrastructure that will quickly spur employment through construction
National Objective	The Crisp Avenue Bridge will benefit low and moderate income target area

Activity Name	
Economic Development Activities	Two (2) economic development activities will result in twelve (12) new jobs
CDBG-R	\$400,000.00
Eligibility Category	
National Objective	LMI Jobs

**Substantial Amendment to the Consolidated Plan 2008 Action Plan for the
Community Development Block Grant – Recovery Program (CDBG-R)**

Activity Name	
Administration	Administrative costs to carry out the CDBG-R Program within the City of Scranton
CDBG-R	\$66,485.99

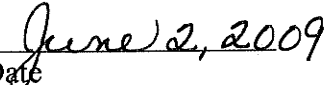
**Substantial Amendment to the Consolidated Plan 2008 Action Plan for the
Community Development Block Grant – Recovery Program (CDBG-R)**

E. Authorized Signature


By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete, and accurate to the best of my knowledge. I also provide the required assurances and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)



Signature/Authorized Official



Date



Title

Substantial Amendment to the Consolidated Plan
April 23, 2009

**HOMELESS PREVENTION AND RAPID RE-HOUSING PROGRAM (HPRP)
COMMUNITY DEVELOPMENT BLOCK GRANT – RECOVERY PROGRAM
SUBSTANTIAL AMENDMENT TO
THE CONOLIDATED PLAN/ACTION PLAN 2008
SCRANTON, PENNSYLVANIA
JANUARY 1, 2008 – DECEMBER 31, 2008**

Web Address where this amendment is posted:
www.scrantonpa.gov/oecd.html

Contact: Linda B. Aebli, Executive Director
Office of Economic and Community Development
538 Spruce Street, Suite 812
Scranton, Pennsylvania 18503
Telephone: 570/348-4216
Fax: 570/348-4123
Email: Laebli@scrantonpa.gov

**ATTEND THE PUBLIC HEARING
For Review and Comment on this Substantial Amendment**

**April 29, 2009
4:00 P.M.
City Hall
Council Chambers
340 North Washington Avenue
Scranton, Pennsylvania 18503**

Background

Scranton, Pennsylvania is eligible to receive \$916,485 in Community Development Block Grant-Recovery (CDBG-R) funding from the United States Department of Housing and Urban Development (HUD) through the American Recovery and Reinvestment Act of 2009 (ARRA or "the Recovery Act"). The administering office for these funds is Scranton's Office of Economic and Community Development. The office contact is Linda B. Aebli, Executive Director, as noted above.

This funding, referred to as "CDBG-R" is separate from the regular, annual CDBG funds that Scranton receives every year. Scranton received \$3,362,611.00 in CDBG funds in 2008 (January 1, 2008 – December 31, 2008) and has not yet received notice from HUD concerning CDBG funding for 2009 but anticipates the same or a slightly increased level of funding.

Page Two

Scranton is required to amend its existing Consolidated Plan to include the CDBG-R funds. The Consolidated Plan/Action Plan 2008 provides specific information about projects that are currently being funded as CDBG eligible activities, and it is expected that similar activities will be eligible for CDBG-R funding.

The CDBG program enables local governments to undertake a wide range of activities intended to create suitable living environments, provide decent affordable housing and create economic opportunities, primarily for persons of low and moderate income. The full range of CDBG eligible activities and projects will be considered for CDBG-R funds based on further guidance as provided by HUD; however, the Recovery Act directs that priority be given to projects that can award contracts based on bids within 120 days from the date the funds are made available.

HUD has released the "CDBG-R Notice" to provide additional guidelines and requirements for the use of CDBG-R funding on May 5, 2009.

Scranton is also receiving \$1,401,867 in Homeless Prevention and Rapid Re-Housing Program (HPRP) funds under the Recovery Act. In order to ease the administrative burden associated with preparation and submission of a substantial amendment to the Consolidated Plan, HUD is allowing Scranton to consolidate the two amendment processes and to submit the CDBG-R substantial amendment simultaneously with the HPRP substantial amendment by the HPRP submission deadline of May 18, 2009. As noted above, the public is invited to a Public Hearing on Wednesday, April 29, 2009 to review and comment on this substantial amendment.

Citizen Participation and Public Comment

Scranton is following its Citizen Participation Plan to ensure the opportunity for public review and comment on this substantial amendment to the Consolidated Plan/Action Plan for January 1, 2008 – December 31, 2008. A newspaper advertisement has been published in the Scranton Times/Tribune, a newspaper of general circulation on Wednesday, April 22, 2009 inviting the public to review and comment on the draft substantial amendment and participates in a Public Hearing on Wednesday, April 29, 2009.

The substantial amendment is posted on www.scrantonpa.gov/oecd.html and is also available in alternative formats by calling 570/348-4216.

Comments on the draft substantial amendment is open for a 12-day period until Monday, May 11, 2009 and all comments will be considered. A summary of the public comments regarding this substantial amendment will be provided to HUD, including a summary of any comments or views not accepted and the reasons for non-acceptance.

CDBG-R Funding

Priority Areas – In accordance with federal guidelines and requirements for the use of CDBG-R funding, Scranton through the Office of Economic and Community Development (OECD) will give priority to eligible activities that can be implemented within 120 days from the date the funds are made available. These projects will be given priority so that OECD can expend funds quickly in accordance with CDBG-R guidance.

Eligible Activities

CDBG-R money will be used to fund projects in conjunction with other public and private funding. Specific activities will be determined as eligible based on guidance to be provided by HUD in the "CDBG-R Notice". Efforts will focus on job creation and infrastructure activities for funding that support broad neighborhood improvement strategies. The tentative projects that are to be funded are:

- Paving of city streets in low to moderate income areas **\$300,000.00**
- Economic Development Projects – Job Creation **\$400,000.00**
- Crisp Avenue Bridge **\$150,000.00**
- Program Administration **\$ 66,485.00**
- \$916,485.00**

Scranton's Office of Economic and Community Development plans to oversee and monitor the administration and use of CDBG-R in accordance with practices currently in place and approved by HUD for use in the CDBG program. These include, among others, affirmatively furthering fair housing, conducting required environmental reviews, complying with financial management requirements and complying with all applicable Federal Labor Standards. In addition, OECD will follow local requirements as well as its own standard operating procedures. These include monitoring and record keeping in compliance with all federal requirements.

Homeless Prevention and Rapid Re-Housing Program (HPRP)

Scranton partnered with the Lackawanna County Continuum of Care as part of the Continuum of Care strategic planning to address and prevent homelessness. Agencies include Catholic Social Services, Catherine McAuley Center, Community Intervention Center, St. Joseph's Center, United Neighborhood Centers and the Women's Resource Center. The following are tentative activities to be funded by HPRP in the amount of \$1,401,867.00.

**Office of Economic and Community Development (OECD)
538 Spruce Street
Suite 812
Scranton, Pennsylvania 18503**

**Community Development Block Grant – Recovery Program
(CDBG-R)**

Public Hearing

CITY OF SCRANTON
OFFICE OF ECONOMIC AND COMMUNITY DEVELOPMENT
PUBLIC HEARING

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Held:
Wednesday, April 29, 2009

Time:
4:00 p.m.

Location:
Council Chambers
Scranton City Hall
340 North Washington Avenue
Scranton, Pennsylvania

Lisa M. Graff, RMR
Court Reporter

1 A P P E A R A N C E S :

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3 MS. LINDA B. AEBLI, EXECUTIVE DIRECTOR OF OECD

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5 MS. LORI REED, DEPUTY DIRECTOR OF OECD

6

7 MR. KEVIN MITCHELL, DIRECTOR OF FINANCE AND COMPLIANCE

8

9 MR. LEO D'ANGELO, DIRECTOR OF PLANNING AND DEVELOPMENT

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11 MS. LIZA SKELTON, DIRECTOR OF HOUSING

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13 MS. CHRIS TANSITS, PROJECT COORDINATOR

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1 MS. AEBLI: I'd like to welcome
2 everyone to this public hearing. My name is
3 Linda Aebli, I'm the Executive Director of
4 the Office of Economic and Community
5 Development.

6 Before we begin, it gives me great
7 pleasure to introduce our OECD staff. On my
8 right is Lori Reed, she's the Deputy
9 Director of OECD. She oversees our small
10 business loans.

11 Kevin Mitchell, on my left, he's the
12 Director of Finance and Compliance. Kevin
13 does all of our financing, along with
14 checking to see that we're all in
15 compliance, the HUD regulations.

16 Next to Kevin is Leo, he's the
17 Director of Planning and Development. Leo
18 oversees most of our construction projects
19 when we fund parks, the demolition, the
20 paving program.

21 Sitting down in front is Liza
22 Skelton. She's the Director of Housing.
23 Liza oversees all of our housing programs,
24 which include the HOME Program, our
25 Homebuyer Program and our Housing Rehab

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Program.

Next to her is Chris Tansits is our project coordinator. Chris works with all of our public services and our Emergency Shelter Grant Sub-Grantees. And when the Homeless Prevention and Rapid Rehousing Program begins, she also will be overseeing them.

I personally want to thank just not the staff that is here today, but all of OECD. We're a great crew, we all work good together.

This public hearing is being held to receive public comment for the following, A substantial amendment is needed to change the City of Scranton's Consolidated Plan and the 2008 Annual Action Plan to include the new funding under Title XII of the American Recovery and Reinvestment Act of 2009 known as the Recovery Act that President Barack Obama signed into law on February 17, 2009.

The new funding Scranton has been designated to receive will include two programs, The Homeless Prevention and Rapid Rehousing Program known as HPRP and the

1 Community Development Block Grant-Recovery
2 known as CDBG-R.

3 Before we start receiving public
4 comments, please be aware this meeting is
5 being recorded in order to transcribe your
6 comments to be included in both applications
7 to HUD.

8 This public meeting is being held on
9 Wednesday, April 29, 2009 at four o'clock in
10 City of Scranton's Council Chambers, City
11 Hall, 340 North Washington Avenue in
12 Scranton, Pennsylvania.

13 I want everyone to be aware that HUD
14 has reduced the substantial amendment
15 comment period from 30 days to 12 days. All
16 comments must be received to OECD no later
17 than 4:30 on Monday, May 11, 2009.

18 The city has been allocated
19 \$1,401,867.00 for the Homeless Prevention
20 and Rapid Rehousing Program known as HPRP.
21 HPRP will provide financial assistance and
22 services to either prevent individuals and
23 families from becoming homeless or help
24 those who are experiencing homelessness to
25 be quickly rehoused and stabilized.

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Only individuals that have an income under the 50 percent median income established by HUD for the City of Scranton qualify to receive assistance under this program.

Scranton is required and has coordinated with the local Continuum of Care to ensure the HPRP activities are aligned with Continuum of Care strategies for preventing and ending homelessness.

The HPRP application must be received by HUD no later than May 18, 2009. HUD will complete its review of all substantial amendments no later than July 2.

HUD intends to execute all grant agreements no later than September 1 and Scranton must obligate all grant agreements no later than September 30, to its grantees.

The Recovery Act requires grantees to expend 60 percent of all HPRP grant funding within two years of the date the funds become available to Scranton and 100 percent of the funds must be expended by September 30, 2012.

HUD also allocated to Scranton

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\$916,485 to the second program entitled
Community Development Block Grant-Recovery.
This program will be similar to our
Community Development Block Grant Program;
however, HUD has not indicated there will be
-- HUD has indicated there will be
limitations on this funding that CDBG
funding does not have.

To ease the administrative burden on
the grantees, HUD encouraged grantees to
have a public hearing for both the HPRP and
the CDBG-R to change their consolidated plan
and action plan at the same time.

I want to point out, though, as of
today, April 29, HUD has not released the
Notice of Regulations for the Community
Development Block Grant-R Program or the
date the application must be received by
HUD.

With that being said, the city is
only proposing in this substantial amendment
what activities we plan on funding with
CDBG-R based on the information we have
received from HUD to date.

As soon as the regulations are

1 released, we need to review the regulations
2 to determine that all activities we proposed
3 are eligible under the CDBG-R Program. If
4 not, unfortunately, we will need to have
5 another public hearing to incorporate the
6 changes into this substantial amendment.

7 We will now receive the public's
8 comments on Scranton's substantial
9 amendment. When speaking, speak clearly and
10 state your name and residence.

11 I would like to introduce our first
12 speaker, Lea Dougherty. Lea is the Deputy
13 Director of United Neighborhood Centers of
14 Northeastern Pennsylvania.

15 Lea is also the lead contact for the
16 Scranton Lackawanna Continuum of Care
17 Planning Committee. Lea will be providing
18 us with more detailed information regarding
19 the Homelessness Prevention and Rapid
20 Rehousing Program.

21 MS. DOUGHERTY: Thank you, Linda.
22 At this time I'd like to just comment on the
23 process that was used over the last six
24 weeks for the implementation of the Homeless
25 Prevention and Rapid Rehousing Program.

1 Following the announcement of HPRP funding
2 availability on March 19, 2009, the City of
3 Scranton had discussions with United
4 Neighborhood Centers, which is the lead
5 agency for the Scranton Lackawanna Continuum
6 of Care.

7 This conversation was to develop a
8 collaborative process to determine eligible
9 subgrantees and projects that would further
10 meet the ten-year plan to end homelessness
11 for Scranton and Lackawanna County.

12 On March 26, 2009, the CoC planning
13 committee, an established group in
14 Lackawanna County, met to identify the unmet
15 goals in the ten-year plan and the annual
16 HUD CoC plan.

17 The committee also reviewed the ways
18 in which the HPRP funding could be used to
19 further advance the rapid rehousing
20 initiatives which have already begun in the
21 community.

22 The committee then met again on
23 April 1, 2009 to review project proposals
24 and budget requests. Six proposals were
25 submitted from the agencies in the community

1 that fit with specific identified goals in
2 the community's efforts to close the front
3 door and open the back door to end
4 homelessness.

5 The six projects proposed include
6 four homeless prevention projects, one from
7 Catholic Social Services targeting those
8 individuals who are -- to supplement
9 existing efforts to keep individuals and
10 families from experiencing homelessness, one
11 from Community Intervention Center targeting
12 those that are precariously housed, a third
13 from Women's Resource Center working with
14 victims of domestic violence, and the fourth
15 from United Neighborhood Centers working on
16 a homeless eviction prevention program in
17 partnership with the judicial system.

18 In addition there are two projects
19 that will work on the initiatives called
20 rapid rehousing. The first will work with
21 homeless pregnant women, including those
22 exiting Lackawanna County Prison, second
23 will work with difficult to serve homeless
24 women and children that have multiple
25 barriers to establishing permanent housing

1 through mainstream resources.

2 These projects work collaboratively
3 with each other and with the City of
4 Scranton to meet all of the goals outline
5 through both the ten-year plan to end
6 homelessness and HUD's annual continuum of
7 care plan.

8 MS. AEBLI: Thank you. Our next
9 speaker is Marie Schumaker.

10 MS. SCHUMAKER: Good afternoon,
11 Marie Schumaker. I do have a couple of
12 questions, and I recognize you're just here
13 to hear public comment, but I do hope to get
14 the answers to the questions at some point,
15 one because I think they have a potential to
16 intersect is, I don't believe we've ever
17 been told what the outcome of the
18 Neighborhood Stabilization Proposal was, if
19 that's been resolved. Do you want to do it
20 now?

21 MS. AEBLI: Yeah. To date, we have
22 not received anything from the DCED. They
23 are still reviewing.

24 MS. SCHUMAKER: I thought it was a
25 short -- I thought it was like a ten-day or

1 a couple weeks turnaround.

2 MS. AEBLI: No one has. They have
3 not released that information yet.

4 MS. SCHUMAKER: Okay. And then is
5 the comment time changed permanently or is
6 it just for these Recovery Act Programs?
7 Because you can go on vacation and totally
8 miss a 12-day period of time.

9 MS. AEBLI: It's just for the
10 stimulus money.

11 MS. SCHUMAKER: Okay. Thank you.
12 Okay. Once again, even with the
13 disclaimers, it seems that, once again, the
14 cart is being put before the horse, in that,
15 projects are being selected prior to the
16 issuance of the delivery of the guidelines,
17 other than the quick spend aspect of the
18 guidelines.

19 I question why the Crisp Avenue
20 bridge was selected. The Rockwell Avenue
21 bridge, I believe it is, has been under --
22 on the list for years and currently has
23 weight restrictions that put people at risk,
24 people and property at risk, because fire
25 apparatus exceed the weight limitations on

1 that bridge as it now stands and people have
2 to go -- or the fire department would have
3 to go a circuitous route instead of a direct
4 route, and as we know in a fire, every
5 second counts.

6 I'd like to know if there would be a
7 separate advertisement for the utilization
8 of the job creation funds and the Homeless
9 Prevention and Rapid Rehousing Program via a
10 media solicitation.

11 I just listened to that proposal,
12 and I didn't hear any hows. I heard who was
13 going to get the money, but I didn't really
14 -- I don't really understand or I don't
15 think it was explained how that's going to
16 happen.

17 And, again, the HPRP section
18 describes strategic planning to address and
19 prevent homelessness, but I don't find any
20 program to tie the job creation.

21 I think there should be a program to
22 tie the job creation and the HPRP funding.
23 How do the funds in the homeless prevention
24 fund prevent homelessness? Are these the
25 permanent solutions or mere temporary

1 housing?

2 And finally, will the lower Green
3 Ridge residents' requests for a flood alert
4 siren be included in any of the above
5 projects? They've been looking for that for
6 a long time.

7 And that sort of ties back in. I
8 think it would have been nice if there had
9 been a public safety category would have
10 been most beneficial, because these items
11 affect people and property, both the bridge
12 restrictions and being notified whether or
13 not there's going to be a flood in the
14 middle of the night. Thank you.

15 MS. AEBLI: Thank you, Mary. Thank
16 you. Is there any more speakers? Okay.
17 Well, this closes the meeting for the public
18 hearing for the substantial amendment and
19 thank you for coming. Thank you.

20 (HEARING WAS CONCLUDED.)

21

22

23

24

25

C E R T I F I C A T E

I hereby certify that the proceedings and evidence are contained fully and accurately in the notes taken by me on the hearing of the above cause and that this copy is a correct transcript of the same to the best of my ability.

Lisa M. Graff, RMR

LISA M. GRAFF, RMR
Official Court Reporter

(The foregoing certificate of this transcript does not apply to any reproduction of the same by any means unless under the direct control and/or supervision of the certifying reporter.)

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Public Hearing for the Substantial Amendment to the Consolidated Plan - Homeless Prevention and Rapid Re-Housing Program

Wednesday, April 29, 2009, 4:00 PM - Council Chambers, City Hall

Name	Address/Organization	Phone	Speaking? Yes/No
Reg Kudry	WPC	346-4460	NO
Tad Finnelly	CF	342-4298	NO
William Miller	Catholic Soc. Services	209-8883	NO
Lea Dougherty	UNC	343- 0839	Yes
Kevin Mikoy	OCEP	348-4216	No
Leo Dantelo	OECD	348-4216	No
Linda Debbi	OECD	348-4216	Yes
Maurothmanche	Scranton	-	Yes
A. Ann Tralok	St. Joseph's Center	558-5050	No
James Stephens	St. Joseph's Center	963-1261	No
Michael Hunt	U.N.C.	346-0759	No
Lani Reed	City of Scranton	348-4216	NO

**Office of Economic and Community Development (OECD)
538 Spruce Street
Suite 812
Scranton, Pennsylvania 18503**

**Community Development Block Grant – Recovery Program
(CDBG-R)**

Application for Federal Assistance SF-424

Application for Federal Assistance SF-424

Version 02

*1. Type of Submission:

- Preapplication
 Application
 Changed/Corrected Application

*2. Type of Application

- New
 Continuation
 Revision

* If Revision, select appropriate letter(s)

*Other (Specify)

3. Date Received:

June 2, 2009

4. Applicant Identifier:

Scranton, Pennsylvania

5a. Federal Entity Identifier:

*5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

*a. Legal Name: Scranton, Pennsylvania

*b. Employer/Taxpayer Identification Number (EIN/TIN):

24-6000704

*c. Organizational DUNS:

060-497856

d. Address:

*Street 1: 340 North Washington Avenue

Street 2: City Hall

*City: Scranton

County: Lackawanna

*State: Pennsylvania

Province: _____

*Country: United States

*Zip / Postal Code 18503

e. Organizational Unit:

Department Name:

Office of Economic and Community Development

Division Name:

OECD

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Ms.

*First Name: Linda

Middle Name: B.

*Last Name: Aebli

Suffix: _____

Title: Executive Director

Organizational Affiliation:

na

*Telephone Number: 570/348-4216

Fax Number: 570/348-4123

*Email: Laebli@scrantonpa.gov

Application for Federal Assistance SF-424

Version 02

***9. Type of Applicant 1: Select Applicant Type:**

C. City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

*Other (Specify)

***10 Name of Federal Agency:**

U. S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14-253 _____

CFDA Title:

Community Development Block Grant - Recovery - Entitlement Community CDBG-R _____

***12 Funding Opportunity Number:**

NA _____

*Title:

NA _____

13. Competition Identification Number:

NA _____

Title:

NA _____

14. Areas Affected by Project (Cities, Counties, States, etc.):

Scranton, Pennsylvania

***15. Descriptive Title of Applicant's Project:**

Community Development Block Grant - Recovery Program (CDBG-R) - see attached description

The following activities will be implemented under the CDBG-R Program:

Paving of City Street along with handicap curb cuts - \$300,000.00

Economic Development Projects that will create 12 new full time position- \$400,000.00

Reconstruction of the Crisp Avenue Bridge - Infrastructure - \$150,000.00

*Signature of Authorized Representative:

Chris Doherty

*Date Signed: 5/12/2009

Authorized for Local Reproduction

Standard Form 424 (Revised 10/2005)

Prescribed by OMB Circular A-102

Application for Federal Assistance SF-424

Version 02

***Applicant Federal Debt Delinquency Explanation**

The following should contain an explanation if the Applicant organization is delinquent of any Federal Debt.

NA

**Office of Economic and Community Development (OECD)
538 Spruce Street
Suite 812
Scranton, Pennsylvania 18503**

**Community Development Block Grant – Recovery Program
(CDBG-R)**

CERTIFICATIONS

CERTIFICATIONS

(1) **Affirmatively furthering fair housing.** The jurisdiction will affirmatively further fair housing, which means that it will conduct an analysis to identify impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting the analysis and actions in this regard.

(2) **Anti-displacement and relocation plan.** The jurisdiction will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (42 U.S.C. 4601), and implementing regulations at 49 CFR part 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under CDBG-R.

(3) **Drug Free Workplace.** The jurisdiction will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

2. Establishing an ongoing drug-free awareness program to inform employees about –

(a) The dangers of drug abuse in the workplace;

(b) The grantee's policy of maintaining a drug-free workplace;

(c) Any available drug counseling, rehabilitation, and employee assistance programs; and

(d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;

4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will –

(a) Abide by the terms of the statement; and

(b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted:

(a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

(4) **Anti-lobbying.** To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

(5) **Authority of Jurisdiction.** The jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations and other program requirements.

(6) **Consistency with Plan.** The housing activities to be undertaken with CDBG-R funds are consistent with its consolidated plan.

(7) **Section 3.** The jurisdiction will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u), and implementing regulations at 24 CFR part 135.

(8) **Community development plan.** The jurisdiction certifies that the consolidated housing and community development plan identifies housing and community development needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the statute authorizing the CDBG program.

(9) **Following a plan.** The jurisdiction is following a current consolidated plan that has been approved by HUD.

(10) **Use of funds.** The jurisdiction has developed activities so as to give the maximum feasible priority to activities that will benefit low- and moderate-income families or aid in the prevention of slums or blight. Additional activities may be included that are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available to meet such needs. It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG-R funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);

2. **Special Assessments.** The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG-R funds by assessing any amount against properties owned and occupied by persons of low- and moderate-income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG-R funds are used to pay the proportion of a fee or assessment attributable to the capital costs of public improvements (assisted in part with CDBG-R funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds. The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG-R funds, unless CDBG-R funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds. In addition, with respect to properties owned and occupied by moderate-income (but not low-income) families, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds if the jurisdiction certifies that it lacks CDBG-R or CDBG funds to cover the assessment.

(11) **Excessive Force.** The jurisdiction certifies that it has adopted and is enforcing: (1) a policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and (2) a policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location that is the subject of such non-violent civil rights demonstrations within its jurisdiction.

(12) **Compliance with anti-discrimination laws.** The CDBG-R grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d), the Fair Housing Act (42 U.S.C. 3601-3619), and implementing regulations.

(13) **Compliance with lead-based paint procedures.** The activities concerning lead-based paint will comply with the requirements of part 35, subparts A, B, J, K, and R of this title.

(14) **Compliance with laws.** The jurisdiction will comply with applicable laws.

(15) **Compliance with ARRA.** The jurisdiction will comply with Title XII of Division A of the American Recovery and Reinvestment Act of 2009.

(16) **Project selection.** The jurisdiction will select projects to be funded, by giving priority to projects that can award contracts based on bids within 120 days from the date the funds are made available to the recipient, and that will ensure maximum job creation and economic benefit.

(17) **Timeliness of infrastructure investments.** When the jurisdiction uses CDBG-R funds for infrastructure investments, the grantee will give preference to quick-start and finish activities, including a goal to use at least 50 percent of the funds for activities within 120 days of enactment of the Recovery Act.

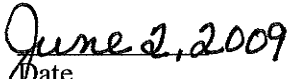
(18) **Buy American provision.** The jurisdiction will ensure that all iron, steel and manufactured goods used in construction, alteration, repair, or maintenance of a public building or public work project assisted with CDBG-R funds under the Recovery Act must be produced in the United States unless the Secretary finds that: (1) the requirement is inconsistent with public interest; (2) those goods are not reasonably available or produced in sufficient quantity in the U.S.; (3) or the use of the goods will increase the project cost by more than 25 percent.

(19) **Appropriate use of funds for infrastructure investments.** The Governor, mayor, or other chief executive, as appropriate certifies, that any infrastructure investments have received the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars. Alternatively, a grantee's chief elected official certifies that infrastructure investments will receive the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars.


(20) **70% of CDBG-R for LMI.** The aggregate use of CDBG-R funds shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the grant is expended for activities that benefit such persons over the life of the CDBG-R grant.



Signature/Authorized Official



Date



Title

OPTIONAL CERTIFICATION

CDBG-R

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

Where the urgent need is the current economic conditions, the grantee certifies that the activity is alleviating current economic conditions which pose a threat to the economic welfare of the community in which the activity is being carried out, the recipient is unable to finance the activity on its own, and other sources of funding are not available.


Signature/Authorized Official

June 2, 2009
Date

Mayor, City of Scranton, PA
Title

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Scranton, Pennsylvania

Program/Activity Receiving Federal Grant Funding

Community Development Block Grant - Recovery

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

City of Scranton
340 North Washington Avenue
City Hall
Scranton, Pennsylvania 18503

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

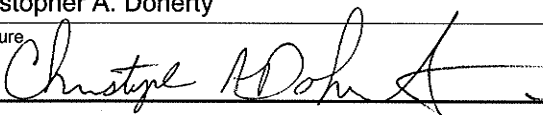
Name of Authorized Official

Christopher A. Doherty

Title

Mayor City of Scranton

Signature

X 

Date

June 2, 2008

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Scranton, Pennsylvania

Program/Activity Receiving Federal Grant Funding

Community Development Block Grant - Recovery

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e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

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(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

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City of Scranton
Office of Economic and Community Development (OECD)
538 Spruce Street
Suite 812
Scranton, Pennsylvania 18503

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.

(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

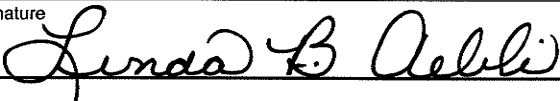
Name of Authorized Official

Linda B. Aebli

Title

Executive Director, OECD

Signature

X 

Date

June 2, 2008

**Office of Economic and Community Development (OECD)
538 Spruce Street
Suite 812
Scranton, Pennsylvania 18503**

**Community Development Block Grant – Recovery Program
(CDBG-R)**

PROJECT

DESCRIPTION / BUDGET

CDBG-R
Activity Data Spreadsheet

Jurisdiction/Grantee Name: Scranton, Pennsylvania		CDBG-R Formula Grant Amount: \$916,485.00				Date: June 2, 2009	
Activity Name	Activity Description	Eligibility (Regulatory or HCDA Citation)	National Objective Citation	CDBG-R Project Budget (\$)	Additional Recovery Funds (\$)	Other Leveraged Funding (\$)	Total Activity Budget
Paving / Handicap Curb Cuts	Paving of approximately 22 city blocks that will include handicap curb cuts	570.201 C	LMI area	\$300,000.00			\$300,000.00
Crisp Avenue Bridge	Infrastructure of the Crisp Avenue Bridge. Additional funding from FEMA	570.208	Urgent Need	\$150,000.00		150,000.00	\$300,000.00
Mt. Pleasant Corporate Center	Economic Development Project - Mt. Pleasant Corporate Center	570.203	LMI Jobs	\$250,000.00			\$250,000.00
Economic Development	Economic Development Projects	570.203	LMI Jobs	\$150,000.00			\$150,000.00
Administration	Administration of the CDBG - R Program	570.206	NA	\$66,485.00			\$66,485.00
							\$0.00
							\$0.00
							\$0.00
							0
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							0
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							0
							0
							0
							0

**Office of Economic and Community Development (OECD)
538 Spruce Street
Suite 812
Scranton, Pennsylvania 18503**

**Community Development Block Grant – Recovery Program
(CDBG-R)**

ECONOMIC DEVELOPMENT PROJECT

**MOUNT PLEASANT CORPORATION CENTER –
ROADWAY AND INFRASTRUCTURE**

\$250,000.00

B. CDBG-R INFORMATION BY ACTIVITY (COMPLETE FOR EACH ACTIVITY)

(1) Activity Name: Mount Pleasant Corporation Center – Roadway and Infrastructure
750 West Linden Street
Scranton, Pennsylvania 18504

(2) Budget for CDBG-R: \$ 250,000.00

(3) Other Funding	Business In Our Sites (Grant)	\$1,998,795.00
	Business In Our Sites (Loan)	\$1,998,795.00
	EDA Grant	\$1,000,000.00
	ARC Grant	\$ 200,000.00
	SLIBCO Equity	<u>\$1,452,312.00</u>
	TOTAL SOURCES	\$6,899,902.00

Uses	Construction	\$3,371,203.00
	Land	\$3,237,749.00
	Soft Costs	<u>\$ 290,950.00</u>
	TOTAL PROJECT COSTS	\$6,899,902.00

(4) Activity Narrative: **Statement of Project Components:**

Introduction

The Mount Pleasant Corporate Center is a relatively vacant 23.5+/- acre site within the City of Scranton that will be developed by SLIBCO into approximately five building sites ranging from 1.9+/- to 9.3+/- acres in size and served by a new road (an extension to Eighth Avenue) and utility infrastructure. The Mount Pleasant property offers an exceptional opportunity to transform wasted and non-productive land at the gateway to the City of Scranton into a corporate office center.

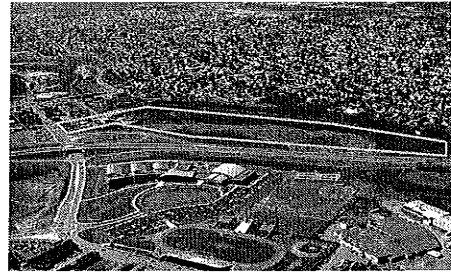
The project area is bounded by the Scranton Expressway to the north and east, railroad right-of-way to the west, West Linden Street to the south and a portion of 7th Avenue to the southeast. The site was formerly part of the Diamond Colliery and was used extensively for coal mining operations.

The Mount Pleasant Corporate Center is an extension of the Scranton Central Business District. Its conversion is entirely consistent with current efforts by the City of Scranton Administration, the Greater Scranton Chamber of Commerce, Lackawanna County and the Scranton School District to promote economic development in Northeastern Pennsylvania. The development project anticipates the realization of new and permanent job opportunities for approximately 1,000 employees, with direct and indirect economic benefits to the City and County.

The site is zoned General Commercial (C-G) and is intended to be occupied by commercial, mixed use, and office complexes. The site is designated a Keystone Opportunity Zone (KOZ).

Project Description:

The proposed Mount Pleasant Corporate Center project envisions the development of 23-acre industrial site historically associated with deep and strip mining of anthracite coal. The project lies within Lackawanna County, at the gateway to the City of Scranton, Pennsylvania.



The Mount Pleasant property offers an exceptional opportunity to transform wasted and non-productive industrial land into an extension of the Scranton Central Business District. Its conversion is entirely consistent with current efforts by the City of Scranton Administration, the Greater Scranton Chamber of Commerce, Lackawanna County and the Scranton School District to promote economic development in Northeastern Pennsylvania.

The property is bounded by the North Scranton Expressway to the East; the intersection of 7th Avenue and West Linden Street to the South; and the Canadian Pacific Railroad to the West. The existing Keystone Concrete Block & Supply Co., Inc. plant will be completely relocated to make room for a new office park development on the southeastern portions of the site adjacent to Linden Street.

Project Components:

Roads and Streets

An extension to Eighth Avenue, approximately 1,400 feet long and terminating in a cul-de-sac, will be constructed from West Linden Street into the site. The roadway alignment will be designed to provide access to the future building sites. It is intended that this new road will be dedicated to the City of Scranton and consequently, will be designed to the City's specifications.

Storm water will be collected along the roadway via dedicated inlets and subsurface piping. The storm water will be temporarily held on-site in a subsurface detention system and will be released at a controlled rate into the existing and adjacent PennDOT drainage system. It is intended that the storm water collection and detention system will be dedicated to the City of Scranton.

Water and Sewer

A proposed 8" ductile iron pipe (DIP) and associated valves, fire hydrants and appurtenances will be constructed along the roadway for domestic water service. This water system will be connected to the 20" water main owned by Pennsylvania American

Water Company (PAWC) in West Linden Street. The total domestic water consumption is estimated at 26,000 gpd at full build-out.

An 8" PVC gravity sanitary sewer system will also be constructed in the new roadway and will connect to an existing 60" sanitary interceptor transversing the site, which is owned and operated by the Scranton Sewer Authority (SSA). The project will generate domestic sewage only with an estimated average daily flow of 23,400 gpd.

Utilities

A future 50 foot wide utility corridor will be located along the western property line adjacent to the railroad for future construction of overhead facilities for electrical distribution (PPL), telephone (Verizon), and cable television (Adelphia). These utilities will connect to their appropriate existing infrastructure in West Linden Street.

A new 4" polyethylene gas main will also be constructed within the roadway right-of-way and connect into an existing 8" main in West Linden Street. The gas service will be provided by PG Energy. The connected natural gas load is estimated at 12,000 cfh.

Demolition

There is an existing commercial building on-site, owned by Keystone Block Company, which will be demolished as part of the project. Additionally, numerous concrete piers leftover from the previous coal mining operations will be removed.

Excavation/Grading

Excavation and grading will encompass clearing and grubbing of the roadway and future building site areas. The necessary erosion and sedimentation control measures will be installed to stabilize all disturbed areas.

Wetland Mitigation

Two small wetlands that cover a total of 5,729 SF (0.13 acres) are found on-site. These wetlands have developed over time as the result of storm water runoff from the Scranton Expressway. An existing storm water collection system along the expressway conveys storm water into a drainage ditch which flows into the site and terminates in the wetlands. Other than for storing storm water, these wetlands have limited functions and values. In order to create future building pads, these wetlands will be recreated with the construction of an on-site replacement wetland.

Off-Site Construction

The Mount Pleasant project will require off-site construction to the area roadway network system. This work will include reconstructing a portion of West Linden Street at the site's intersection to provide for new left and right turn lanes into the site. Infrastructure

for a future traffic signal will also be installed. Utility pole relocations to accommodate the West Linden Street widening will be required.

Extending an existing left turn lane from 7th Avenue onto West Linden Street via restriping and the installation of emergency pre-emption are being proposed at this intersection. Other off-site roadway improvements may be necessary upon finalization of the Traffic Impact Study.

Approximately 1.8 acres of PennDOT right-of-way, adjacent to the project site's eastern property line, will be selectively cleared of undesirable trees and undergrowth to provide better visibility of the site to and from the City of Scranton.

Investment Description:

The property is bounded by the North Scranton Expressway to the East; the intersection of 7th Avenue and West Linden Street to the South; and the Canadian Pacific Railroad to the West. Designated as a KOZ, Keystone Opportunity Zone, approximately 17 of the 23 acres enjoy local and state tax abatements and other benefits designed to encourage development. The proposed subdivision of the parcel aims to maximize the use of buildable land, while addressing anticipated market demands. However, the flexibility exists for many other equally valid subdivision approaches capable of satisfying specific tenant requirements.

Topographically, the land has a general gradient of approximately 12% from its high western boundary to its low point at the North Scranton Expressway right-of-way. The surface has been reshaped by years of extensive mining activities and exhibits abundant culm and shale scattered throughout the site. A meager and spotty layer of topsoil barely supports the site's flora, which is limited to hardy sumac and birch. The absence of significant vegetation or vulnerable natural features will allow architects and engineers the freedom to reshape the surface contours to best serve the master plan objectives. Future studies will determine the possible presence of wetlands and propose remedial action, if necessary.

The general approach to site development focuses on the transformation of industrial mine lands into a modern corporate office park. The scope of the project includes the creation of building pads to accommodate up to five office buildings and the extension of approximately 1,400 lineal feet of infrastructure components to serve the 23 acre site. A new access road will be extended from West Linden Street and follow the eastern boundary providing entry to the various parcels and facilities. An optional utility corridor may follow the access road, providing for ease of maintenance. If the forthcoming traffic analysis requires it, the possibility exists to complete the loop along the western boundary of the site. The removal of old abandoned mining structures will eliminate an eye sore and make the project more marketable and attractive for corporate relocations.

SLIBCO has executed an engineering design contract with GJAI Consulting Engineers and master planning, engineering studies and infrastructure design work is well underway. Upon completion of the engineering work and construction bids are received and financing is secured,

a development agreement will be executed between SLIBCO and Keystone Concrete Block & Supply Co., Inc. and then the land will be transferred to SLIBCO.

In addition to the design work, SLIBCO will be responsible for the construction inspection of the infrastructure. Upon completion of the infrastructure, the EDA funded road, sewer and storm water management will be owned and maintained by the City of Scranton. The remaining non EDA funded water, gas, electric and transmission lines will be dedicated to the appropriate utility companies. The EDA and non-EDA funded infrastructure will be constructed concurrently. Once the sites are sold within the park, the tenants will own, operate and/or maintain their own facilities.

The site design adheres to the requirements set forth in the Scranton Zoning Ordinance. On-grade parking areas will be proportional to the size of the buildings; building setbacks have been observed; impervious area coverage is well under the allowable ratio and the proposed reforestation far exceeds any legal requirements. Future studies will address the need for storm water collection and detention systems. The open green space located at the entrance to the site proposes an attractively landscaped detention pond.

The proposed buildings will be carefully sited to maximize exposure to the southern sun and at the same time enjoy the impressive distant views extending from Downtown Scranton to Green Ridge and Dunmore. The main entrance to each building is clearly visible to both approaching drivers and pedestrians walking from designated parking areas. Extensive landscape features will be utilized to mitigate the visual impact of the parking lots. Loading docks and other service areas have been discretely planned away from view.



Signage will project a professional image and be controlled by design covenants.

To date consultants have been hired to prepare preliminary site survey, preliminary conceptual master planning, geotechnical engineering study, topographic mapping, phase I environmental study, and a preliminary wetlands report.

(5) Job Creation – The Mt. Pleasant Corporate Center project will create a significant impact including job creation along with the following:

Business Owners – Project will provide additional small and medium sized business owners with quality, high-tech office space at affordable rates.

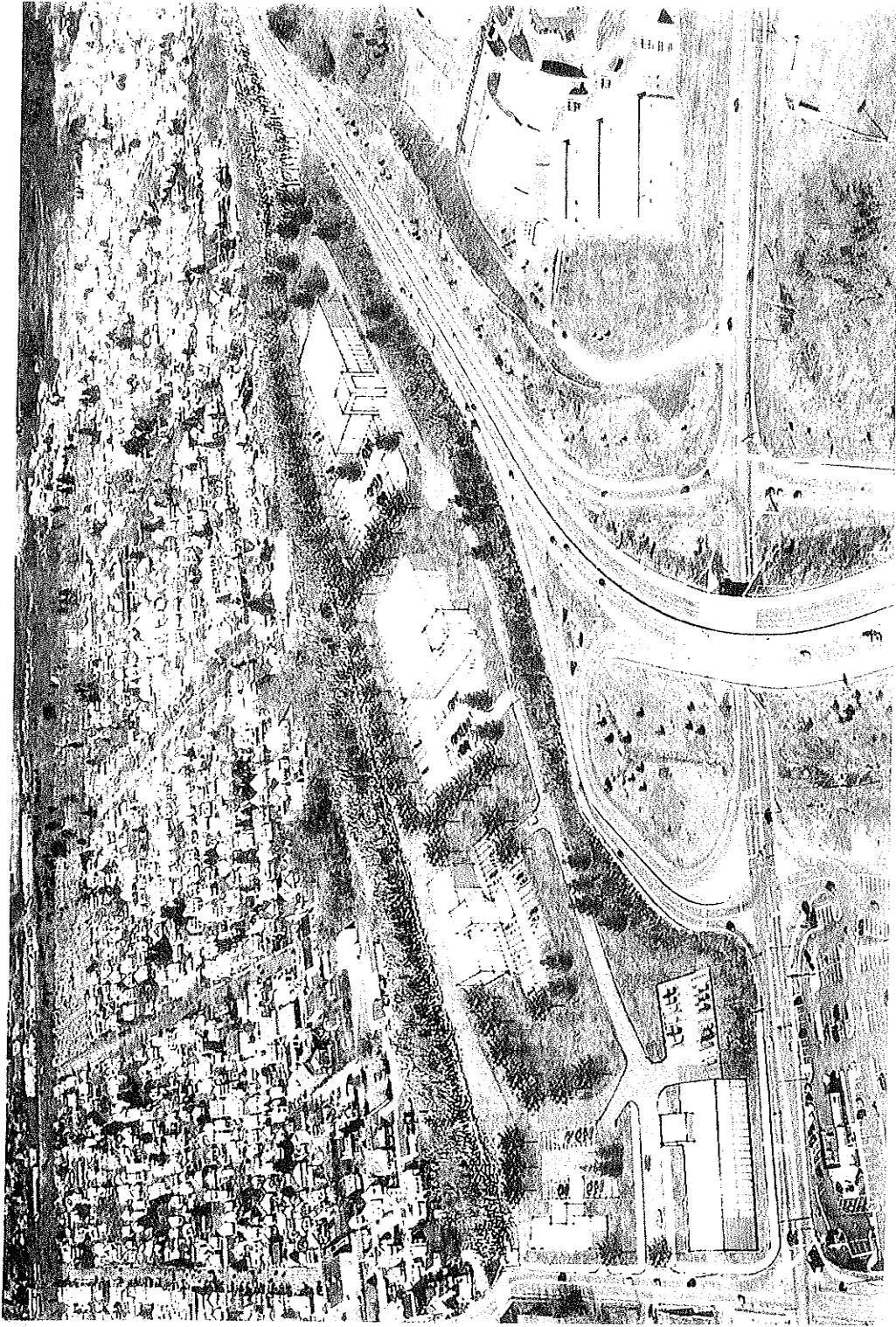
Incubator Tenants – Project will provide the incubator tenants in the Scranton Enterprise Center an opportunity to expand within the downtown into larger space, thus growing their business.

Unemployed/Underemployed/Dislocated/Low-Moderate Income Workers – Project will provide these individuals with additional employment opportunities that may not be available with additional space with the community. It is estimated that up to 1,000 jobs will be created at the completion of the Corporate Center. Eight (8) full-time equivalent jobs must be created as a result of the \$250,000.00 of CDBG-R funds being allocated to this project.

Local Restaurants/Service Businesses/Retail Locations these businesses will be directly affected by this project due to the increase in businesses and people who will be working in downtown Scranton. The tenants of the Corporate Center will utilize the restaurants for breakfast, lunch and/or dinner, shop at the Mall at Steamtown located in downtown Scranton and other sever small retail stores.

- (6) Time of Completion: The roadway and infrastructure should be completed by March 2010.
- (7) Release of Funds: Received an executed “Release of Funds” from HUD on July 28, 2007.
- (8) **Responsible Organization:** City of Scranton
Office of Economic and Community Development (OECD)
538 Spruce Street
Suite 812
Scranton, Pennsylvania 18503
Contact Person: Linda B. Aebli, Executive Director
Email: Laebli@scrantonpa.gov
Phone: 570/348-4216
Fax: 570/348-4123

Responsible Sub-Grantee: Scranton Lackawanna Industrial Building Company (SLIBCO)
Chamber of Commerce Building
222 Mulberry Street
Scranton, Pennsylvania 18503
Contact Person: Andrew C. Skrip
Email: askrip@scrantonchamber.com
Phone: 570/342-7711



Aerial View of Proposed Corporate Center

October 2006

Mount Pleasant Corporate Center
Scranton, Pennsylvania



hemmler + camayd architects





Mount Pleasant Site

Aerial View of Site

Mount Pleasant Corporate Center
Scranton, Pennsylvania

h mmler + camayd archit cts

Consulting Engineers

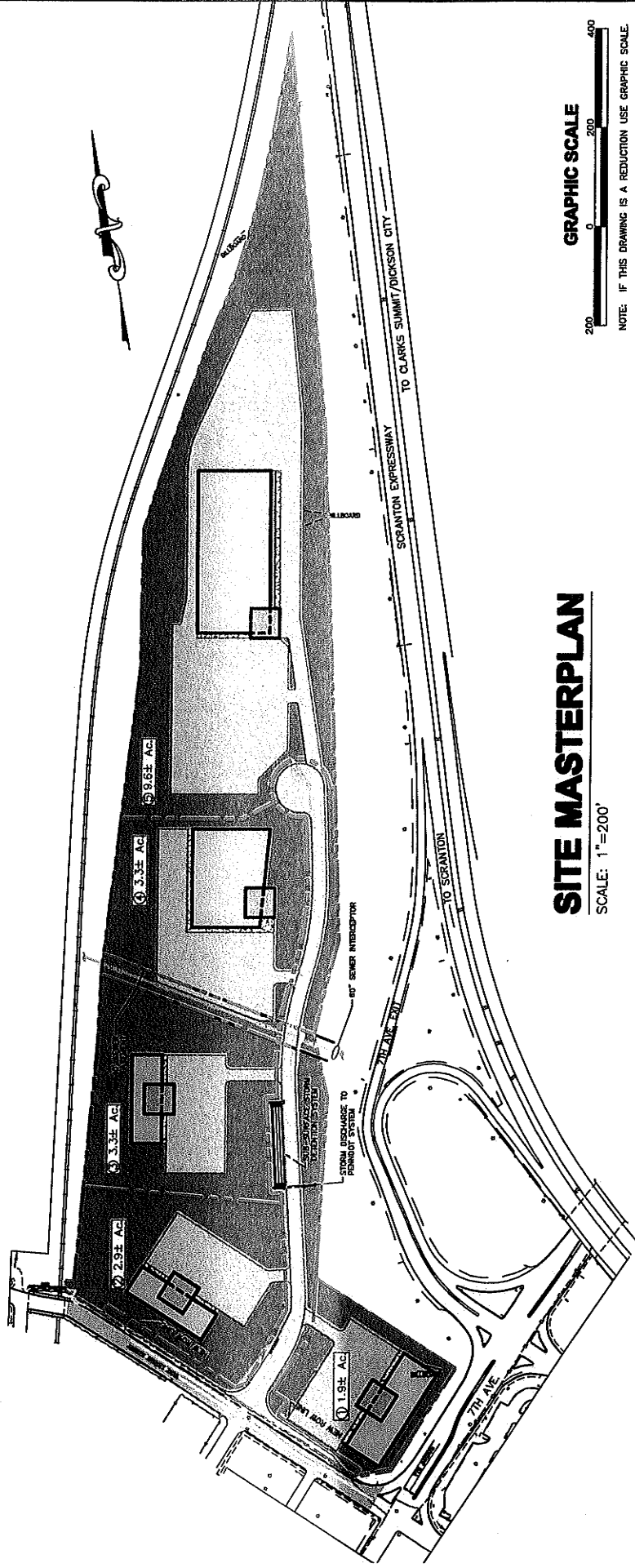
GJA

SITE INFORMATION

ONE: GENERAL COMMERCIAL (C-G)
 RACT AREA: 23.5± AC.
 ROADWAY & INFRASTRUCTURE: 2.6± AC.
 DEVELOPABLE LAND: 20.9± AC.

SITE UTILITY LEGEND

- GAS
- GAS
- 4" GAS LINE
- 8" WATER LINE
- 8" SANITARY SEWER LINE W/ MANHOLES
- EXISTING 60" SEWER INTERCEPTOR



SITE MASTERPLAN

SCALE: 1"=200'

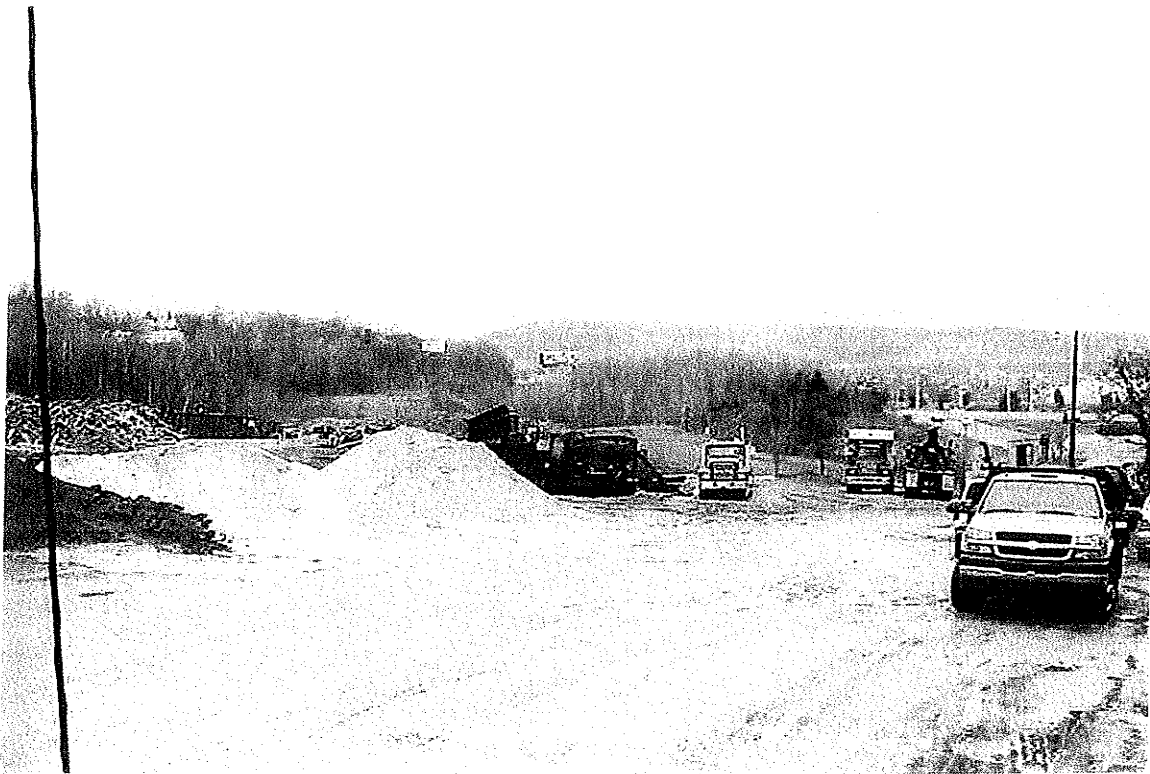


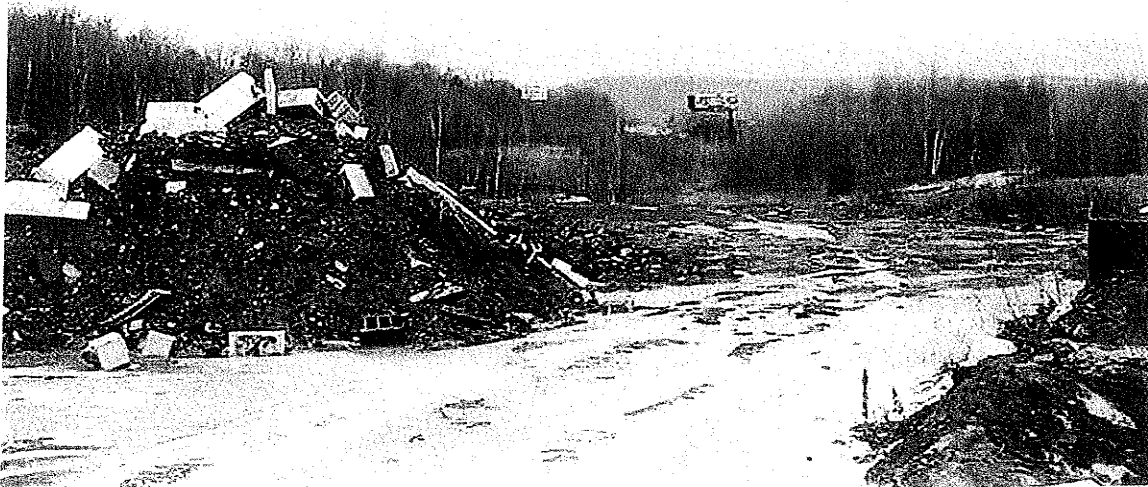
MOUNT PLEASANT CORPORATE CENTER

SCRANTON, PA

skibinski

1402 South Atherton Street
 State College, PA 16801
 P: 814-237-4033 F: 814-237-2304









**Office of Economic and Community Development (OECD)
538 Spruce Street
Suite 812
Scranton, Pennsylvania 18503**

**Community Development Block Grant – Recovery Program
(CDBG-R)**

PAVING / HANDICAP CURB CUTS

B. CDBG-R INFORMATION BY ACTIVITY (COMPLETE FOR EACH ACTIVITY)

(1) Activity Name: **Paving and Handicap Curb Cuts**

(2) Activity Narrative:

\$300,000.00 of CDBG-R funds will be incorporated with the City of Scranton's successful Paving Program. The funds will be used on Paving and Handicap Curb Cuts of city streets in Scranton, Pennsylvania. 100% of this funding will be expended in an approximate twenty-two (22) block radius only in low to moderate income areas that will benefit approximately 3,000 families. No other funding will be used on this activity.

Scranton will proceed to following the proper bidding procedures that includes advertising in the local newspaper Legal Ad for competitive bidding, Spec Book, Davis Bacon Requirements, mandatory pre-bid requirement, and meet other requirements of Title XII of Division A and Section 1602 of ARRA.

This project preserves and creates jobs and promotes economic recovery by providing job opportunities for a wide range of areas including Engineers, City/State/Private Inspectors, contracting companies, truck drivers, and heavy construction works, etc.

This project will assist those most impacted by the recession by providing a significant physical improvement to many low to moderate income residential areas. In the Northeast United States where Scranton is located the severe weather conditions play a big part of the shape our city streets are in. Also, Scranton has a large elderly population that needs the handicap curb cuts in order to get around in their neighborhoods.

By providing improved roads this will attract investments needed to increase economic efficiency in our neighborhoods.

(3) Jobs Created: (Report the number of full- and part-time jobs estimated to be created and retained by the activity (including permanent, construction, and temporary jobs).

Response: It is estimated that during construction activities this project will retain and bring back many construction workers that have been laid off due to our economic times. Using CDBG-R funding along with other City funding this will create more temporary jobs during construction.

(4) Additional Activity Information: (A description of how the activity will promote energy conservation, smart growth, green building technologies, or reduced pollution emissions, if applicable).

Response: Paving roads and removing the many pot holes the City has encountered this project will reduce vehicle congestion and idle time thus reducing air pollution emissions and fuel consumption. By paving deteriorated and defective roads in CDBG eligible areas

will improve pedestrian access safety and walk ability for residents while crossing streets in eligible census tract areas.

This project meets the National Objective by benefitting low and moderate income persons through the area benefit. This project falls into the Public Facilities and Improvements eligible activity (Matrix Code 03K Street Improvements)

(5) Responsible Organization: City of Scranton
Office of Economic and Community Development (OECD)
538 Spruce Street
Suite 812
Scranton, Pennsylvania 18503
Contact Person: Linda B. Aebli, Executive Director
Email: Laebli@scrantonpa.gov
Phone: 570/348-4216
Fax: 570/348-4123

**Office of Economic and Community Development (OECD)
538 Spruce Street
Suite 812
Scranton, Pennsylvania 18503**

**Community Development Block Grant – Recovery Program
(CDBG-R)**

RECONSTRUCTION OF CRISP AVENUE BRIDGE

B. CDBG-R INFORMATION BY ACTIVITY (COMPLETE FOR EACH ACTIVITY)

- (1) Activity Name: **Urgent Need**
Crisp Avenue Bridge - Replacement
Scranton, Pennsylvania 18509
- (2) Budget for CDBG-R: \$ 150,000.00
- (3) Other Funding Federal Emergency Management Agency \$ 150,000.000
(FEMA)
- TOTAL SOURCES \$ 300,000.00

(4) Activity Narrative: **Crisp Avenue Bridge - Replacement**
Scranton, Pennsylvania 18505

The Crisp Avenue Bridge was destroyed by flooding and is under design for replacement. Permit applications have been completed and sent to the Pennsylvania Department of Environmental Protection (PA EPA). FEMA is providing funding, however, the additional funding that is needed to replace the bridge will be provided with CDBG-R funding through the City of Scranton's Office of Economic and Community Development (OECD). The Crisp Avenue Bridge is a shovel ready activity and is in urgent need to be completed by October, 2009.

The Pennsylvania Department of Environmental Protection (PA EPA) has required hundreds of feet of stream bank restoration and environmental improvements that FEMA did not pay for. Nevertheless, the work had to be completed and the costs burden had to be entirely paid by the City of Scranton. The City of Scranton has been under The Financially Distressed Municipalities Act (*Act of 1987, P.L. 246, No. 47*), also known as Act 47 since the early 1990's. This Act empowers the Pennsylvania Department of Community and Economic Development to declare Scranton financially distressed.

The Crisp Avenue serves as a vital link between population centers over Lindy Creek. There is only one other crossing of this creek located on Keyser Avenue. The missing bridge poses a significant urgent problem in providing essential services, including emergency vehicles and school bus access.

- (5) Time of Completion: The Crisp Avenue Bridge replacement should be completed by October 2009.

(6) **Responsible Organization:** City of Scranton
Office of Economic and Community Development (OECD)
538 Spruce Street
Suite 812
Scranton, Pennsylvania 18503
Contact Person: Linda B. Aebli, Executive Director
Email: Laebli@scrantonpa.gov
Fax: 570/348-4123

Scranton City Engineer: City of Scranton
Brian Swanson
City Engineer
Department of Public Works (DPW)
340 North Washington Avenue
Scranton, Pennsylvania 18503
Contact Person:
Email: bswanson@scrantonpa.gov
Phone: 570/706-1890

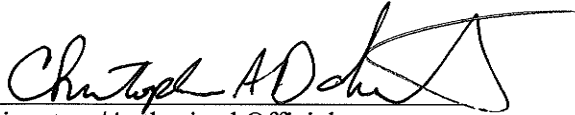
(7) Urgent Need Certification is Attached.

OPTIONAL CERTIFICATION

CDBG-R

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

Where the urgent need is the current economic conditions, the grantee certifies that the activity is alleviating current economic conditions which pose a threat to the economic welfare of the community in which the activity is being carried out, the recipient is unable to finance the activity on its own, and other sources of funding are not available.



Signature/Authorized Official

June 2, 2009

Date

Mayor, City of Scranton, PA
Title

**Office of Economic and Community Development (OECD)
538 Spruce Street
Suite 812
Scranton, Pennsylvania 18503**

**Community Development Block Grant – Recovery Program
(CDBG-R)**

ECONOMIC DEVELOPMENT - JOB CREATION

B. CDBG-R INFORMATION BY ACTIVITY (COMPLETE FOR EACH ACTIVITY)

(1) Activity Name: Central Business District Economic Development
Scranton, Pennsylvania

(2) Funding:

The City of Scranton through the Office of Economic and Community Development will allocate \$150,000.00 a portion of our total CDBG-R requested funding, to enhance businesses within our City of Scranton and promote Economic Development and job creation and retention.

(3) Activity Narrative:

Through the funding requested the City of Scranton will be preserving nearly one hundred (100) current employees in the city as well as creating at least five (5) new full time jobs through initial stages of direct funding. Our plan is to fund projects that will enhance Downtown Business as well as offer services to potential customers throughout the country. We feel this funding will lead to additional employment that we cannot calculate at this time through indirect business in the surrounding area.

Funds will be allocated to individual projects who, based on the opinion of the City of Scranton, meet the requirements of the American Recovery and Reinvestment Act of 2009. One of the goals for the Central Business District Economic Development will be to assist businesses looking to relocate to a newly refurbished building that has been vacant for over ten (10) years in the downtown. Renovation of the building is expected to be completed by Fall of 2009; some potential applicants have already inquired about availability of the aforementioned space. These funds will be necessary to foster growth in this section of the local economy and create and retain jobs.

Additional funds will be allocated and disbursed to companies whose target market would include health and community benefit. In the Fall of 2009 The Community Medical College will be enrolling their first class. With the Medical College now located in Scranton we feel it would be best to fund projects that will work in conjunction with The Commonwealth Medical College (TCMC) as well as the area hospitals and doctor's offices. As the new President and his administration of TCMC look to secure all patient and medical data that is required on the electronic Patient Health Information (ePHI) network we hope to foster a relationship with a potential local business that already operates in the Scranton market and has been in contact with this office regarding ePHI.

Through our Central Business District Economic Development funds we plan to enhance the economic and medical community for the citizens of Scranton as well as potentially bringing new jobs to the area through ancillary companies and industries that will be attracted by those we are funding.

(4) Jobs Created:

According to Bureau of Labor Statistics from March 2009 the Scranton/Wilkes-Barre metro area has a labor force of 282,063, employment at the end of that same month was 256,023, leaving unemployment at 26,040. Based on the data provided from Bureau of Labor and Statistics the unemployment rate in this metropolitan area has grown from 5.3% in April of 2008 to a projected 9.3% through April 2009. It is with this in mind that we are trying to infuse the struggling economy through funding to our Central Business District. Through the use of CDBG-R funding the City of Scranton's Office of Economic and Community Development expects our grantees to retain/create approximately 120 full time, seasonal, or temporary positions in our Downtown Business District. We also expect that more jobs will be created in the city as these businesses expand and draw in potential ancillary companies.

(5) Additional Activity Information:

This office is giving priority to projects that will be located, or are looking to relocate, into buildings that have been built or refurbished in a manner that promotes energy conservation within the building. The City of Scranton also encourages the enhancement of smart growth and the use of smart technology to produce and secure products in a safe and environmentally friendly manner.

In order to comply with Title XII of Division A and Section 1602 of the Recovery Act we have taken steps to ensure that all proposed projects under the Central Business District Economic Development activity will be prepared for funding within 120 days of disbursement to the City of Scranton.

(6) Responsible Organization: City of Scranton
Office of Economic and Community Development (OECD)
538 Spruce Street
Suite 812
Scranton, Pennsylvania 18503
Contact Person: Linda B. Aebli, Executive Director
Email: Laebli@scrantonpa.gov
Phone: 570/348-4216
Fax: 570/348-4123

**Office of Economic and Community Development (OECD)
538 Spruce Street
Suite 812
Scranton, Pennsylvania 18503**

**Community Development Block Grant – Recovery Program
(CDBG-R)**

ADMINISTRATION

B. CDBG-R INFORMATION BY ACTIVITY (COMPLETE FOR EACH ACTIVITY)

(1) Activity Name: **Administration**

(2) Activity Narrative:

Payment of reasonable administrative costs that will include salaries and carrying charges related to the planning and execution of CDBG-R activities assisted with funds under 24 CFR 570.205 and 570.206.

Target date of completion of funding: September 30, 2012

Total Administration budget from CDBG-R \$66,485.00

(3) Responsible Organization: City of Scranton
Office of Economic and Community Development (OECD)
538 Spruce Street
Suite 812
Scranton, Pennsylvania 18503
Contact Person: Linda B. Aebli, Executive Director
Email: Laebli@scrantonpa.gov
Phone: 570/348-4216
Fax: 570/348-4123

CDBG-R Substantial Amendment Grantee Checklist

For the purposes of expediting review, HUD asks that applicants submit the following checklist along with the CDBG-R Substantial Amendment, Spreadsheet for Reporting Proposed CDBG-R Activities, and SF-424.

Contents of a CDBG-R Action Plan Substantial Amendment

Jurisdiction(s): Scranton, Pennsylvania Lead Agency OECD Jurisdiction Web Address: scrantonpa.gov <i>(URL where CDBG-R Substantial Amendment materials are posted)</i>	CDBG-R Contact Person: Linda B. Aebli Address: OECD, 538 Spruce St., Suite 812, Scranton, PA. 18503 Telephone: 570/348-4216 Fax: 570/348-4123 Email: Laebli@scrantonpa.gov

The elements in the substantial amendment required for the CDBG recovery funds are:

A. SPREADSHEET FOR REPORTING PROPOSED CDBG-R ACTIVITIES

Does the submission contain a paper copy of the Spreadsheet for Reporting Proposed CDBG-R Activities?

Yes No Verification found on page _____

Does the submission include an electronic version of the Spreadsheet for Reporting Proposed CDBG-R Activities sent to the email box CDBG-R@hud.gov?

Yes No Date Spreadsheet was emailed: _____

Does the Spreadsheet for Reporting Proposed CDBG-R Activities include, for each activity:

- amount of funds budgeted for each activity, including CDBG-R funds, any additional Recovery Funds used and total activity budget,
 Yes No Verification found on page(s) _____
- the Eligibility citation (eligibility regulatory cite or HCDA cite),
 Yes No Verification found on page(s) _____
- the CDBG national objective citation,
 Yes No Verification found on page(s) _____

B. CDBG-R INFORMATION BY ACTIVITY

Does the submission contain information by activity describing how the grantee will use the funds, including:

- a narrative for each activity describing how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit,
Yes No Verification found on page(s) _____
- projected number of jobs created for each activity,
Yes No Verification found on page(s) _____
- whether an activity will promote energy efficiency and conservation,
Yes No Verification found on page(s) _____
- the name, location, and contact information for the entity that will carry out the activity,
Yes No Verification found on page(s) _____
- evidence that no more than 10% of the grant amount will be spent on administration and planning,
Yes No Verification found on page (s) _____
- evidence that no more than 15% of the grant amount will be spent on public services,
Yes No Verification found on page (s) _____
- evidence that at least 70% of the grant amount will benefit persons of low and moderate income,
Yes No Verification found on page (s) _____

C. PUBLIC COMMENT PERIOD

Was the proposed action plan amendment published via the jurisdiction's usual methods and on the Internet for no less than 7 calendar days of public comment?

Yes No Verification found on page(s) _____

Is there a summary of citizen comments included in the final amendment?

Yes No Verification found on page(s) _____

D. CERTIFICATIONS

The following certifications are complete and accurate:

- | | | |
|---|---|-----------------------------|
| (1) Affirmatively furthering fair housing | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (2) Anti-displacement and relocation plan | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (3) Drug-free Workplace | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (4) Anti-lobbying | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (5) Authority of jurisdiction | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (6) Consistency with plan | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |

- (7) Section 3 Yes No
- (8) Community development plan Yes No
- (9) Following a plan Yes No
- (10) Use of Funds Yes No
- (11) Excessive Force Yes No
- (12) Compliance with anti-discrimination laws Yes No
- (13) Lead-based paint procedures Yes No
- (14) Compliance with laws Yes No
- (15) Compliance with ARRA Yes No
- (16) Project selection Yes No
- (17) Timeliness of infrastructure investments Yes No
- (18) Buy American provision Yes No
- (19) Appropriate use of funds for infrastructure investments Yes No
- (20) 70% of CDBG-R for LMI Yes No

Optional Certification

- (21) Urgent Need Yes No

D. STATE CERTIFICATIONS

The following certifications are complete and accurate:

- (1) Affirmatively furthering fair housing Yes No
- (2) Anti-displacement and relocation plan Yes No
- (3) Drug-free Workplace Yes No
- (4) Anti-lobbying Yes No
- (5) Authority of State Yes No
- (6) Consistency with plan Yes No
- (7) Section 3 Yes No
- (8) Community development plan Yes No
- (9) Consultation with Local Governments Yes No
- (10) Use of Funds Yes No
- (11) Excessive Force Yes No
- (12) Compliance with anti-discrimination laws Yes No
- (13) Compliance with laws Yes No
- (14) Compliance with ARRA Yes No
- (15) Project selection Yes No
- (16) Timeliness of infrastructure investments Yes No
- (17) Buy American provision Yes No
- (18) Appropriate use of funds for infrastructure investments Yes No
- (19) 70% of CDBG-R for LMI Yes No

Optional Certification

- (20) Urgent Need Yes No