

# Scranton OECD

Office of Economic and  
Community Development

May 12, 2009

U. S. Department of Housing and Urban Development  
Office of Special Needs Assistance Programs  
Robert C. Weaver Building  
451 Seventh Street. SW  
Room 7262  
Washington, D. C. 20410

Re: Scranton, Pennsylvania  
Substantial Amendment to the Consolidated Plan/Action Plan 2008  
Homelessness Prevention and Rapid Re-Housing Program  
\$1,401,868.00

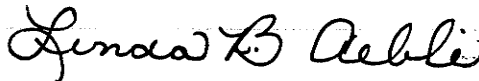
To Whom It May Concern:

Attached please find the Substantial Amendment to the Consolidated Plan/Action Plan 2008 for the Homelessness Prevention and Rapid Re-Housing Program in the amount of \$1,401,868.00 for Scranton, Pennsylvania. Attached are the required documents:

1. A completed and signed Standard Form SF-424
2. A completed and signed Substantial Amendment using form HUD-40119
3. Signed copies of certifications (3)

Please review our application and if you need further information please do not hesitate to contact me at 570/348-4216 or email [Laebli@scrantonpa.gov](mailto:Laebli@scrantonpa.gov).

Sincerely,



Linda B. Aebli  
Executive Director

Lba/

Cc: Mr. Nadab O. Bynum, Director, Regional Office  
Mr. Steven Stein, CD Representatvie, Regional Office  
U.S. Department of Housing and Urban Development  
Office of Community Planning and Development  
The Wanamaker Building  
100 Penn Square East, 12th Floor  
Philadelphia. PA 19107

**Scranton, Pennsylvania**

**Substantial Amendment to the Consolidated Plan/Action Plan 2008**

**Homelessness Prevention and Rapid Re-Housing Program  
(HPRP)**

**\$1,401,868.00**

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**Submitted by: City of Scranton, Pennsylvania  
Office of Economic and Community Development (OECD)  
538 Spruce Street  
Suite 812  
Scranton, Pennsylvania 18503  
Phone: 570/348-4216  
Fax: 570/348-4123**

**Linda B. Aebli, Executive Director  
[Laebli@scrantonpa.gov](mailto:Laebli@scrantonpa.gov)**

**Substantial Amendment to the Consolidated Plan 2008 Action Plan for the Homelessness Prevention and Rapid Re-Housing Program (HPRP)**

**A. General Information**

<b>Grantee Name</b>	City of Scranton, Pennsylvania
<b>Name of Entity or Department Administering Funds</b>	Office of Economic and Community Development
<b>HPRP Contact Person</b> (person to answer questions about this amendment and HPRP)	Linda B. Aebli
<b>Title</b>	Executive Director
<b>Address Line 1</b>	538 Spruce Street
<b>Address Line 2</b>	Suite 812
<b>City, State, Zip Code</b>	Scranton, Pennsylvania 18503
<b>Telephone</b>	570/348-4216
<b>Fax</b>	570/348-4123
<b>Email Address</b>	<a href="mailto:Laebli@scrantonpa.gov">Laebli@scrantonpa.gov</a>
<b>Authorized Official</b> (if different from Contact Person)	Mayor Christopher A. Doherty
<b>Title</b>	Mayor
<b>Address Line 1</b>	340 North Washington Avenue
<b>Address Line 2</b>	City Hall
<b>City, State, Zip Code</b>	Scranton, Pennsylvania 18503
<b>Telephone</b>	570/348-4101
<b>Fax</b>	570/348-4251
<b>Email Address</b>	N/A
<b>Web Address where this Form is Posted</b>	Scrantonpa.gov

<b>Amount Grantee is Eligible to Receive*</b>	<b>\$1,401.868.00</b>
<b>Amount Grantee is Requesting</b>	<b>\$1,401,868.00</b>

\*Amounts are available at <http://www.hud.gov/recovery/homelesspreventrecov.xls>

**Substantial Amendment to the Consolidated Plan 2008 Action Plan for the Homelessness Prevention and Rapid Re-Housing Program (HPRP)**

**B. Citizen Participation and Public Comment**

1. Briefly describe how the grantee followed its citizen participation plan regarding this proposed substantial amendment (limit 250 words).

**Response:** Scranton advertised "Notice of Public Hearing" in the general circulation section of the Scranton Times Tribune on Wednesday, April 22, 2009 and Monday, April 27, 2009. The Notice is as follows:

**NOTICE OF PUBLIC HEARING**

**The Federal American Recovery and Reinvestment Act of 2009 (Recovery Act)  
For The "Homeless Prevention and Rapid Re-Housing Program" (HPRP)  
And Community Development Block Grant – Recovery (CDBG-R)**

Notice is hereby given that the City of Scranton's Office of Economic and Community Development (OECD) is soliciting public comment for the following; 1) substantial amendment to the City of Scranton's Consolidated Plan and the 2008 Annual Action Plan for new funding under Title XII of the American Recovery and Reinvestment Act of 2009 ("Recovery Act") for the Homeless Prevention and Rapid Re-Housing Program (HPRP) and the Community Development Block Grant – Recovery (CDBG-R).

The Public Hearing will be held on **Wednesday, April 29, 2009 at 4:00 P.M.** in Scranton City Council Chambers, City Hall, 340 North Washington Avenue, Scranton, Pennsylvania 18503.

The Substantial Amendment to the Consolidated Plan/ Action Plan 2008 will guide the use of \$1,401,868 of Homeless Prevention and Rapid Re-Housing Program (HPRP) funding to provide financial assistance and services to prevent individuals and families from becoming homeless and help those who are experiencing homelessness to be quickly re-housed and stabilized. Funding comes from the U. S. Department of Housing and Urban Development (HUD).

It also guides the use of the Community Development Block Grant – Recovery (CDBG-R) funding in the amount of \$916,485. HUD is expected to issue a CDBG-R Notice to provide additional guidelines and requirements for the use of the CDBG-R funding in the near future.

Citizens are encouraged to review and comment on this proposed substantial amendment. The amendment is available for review and comment from Thursday, April 23, 2009 through Monday, May 11, 2009. Comments received will be taken into consideration prior to adoption of the proposed amendment. The Public can review the substantial amendment online at [www.scrantonpa.gov/oecd.html](http://www.scrantonpa.gov/oecd.html) or calling OECD at 570/348-4216.

**Substantial Amendment to the Consolidated Plan 2008 Action Plan for the Homelessness Prevention and Rapid Re-Housing Program (HPRP)**

Comments must be in writing and must be received by the Office of Economic and Community Development (OECD), 538 Spruce Street, Suite 812, Scranton, Pennsylvania 18503 no later than 4:30 P.M. on Monday, May 11, 2009 or email to [oe.cd@scrantonpa.gov](mailto:oe.cd@scrantonpa.gov).

ADA Notice: The City of Scranton strives to provide access to all members of the public. City/OECD will make reasonable accommodations to make it possible for you to come and be active in the public hearing. If you need assistance such as a sign language interpreter, please contact OECD at 570/348-4216 (voice) or 570/346-5928 (TDD/TTY) at least three days prior to the hearing.

Christopher A. Doherty  
Mayor

Linda B. Aebli  
Executive Director  
OECD

2. Provide the appropriate response regarding this substantial amendment by checking one of the following options:

- Grantee did not receive public comments.  
 Grantee received and accepted all public comments.  
 Grantee received public comments and did not accept one or more of the comments.

3. Provide a summary of the public comments regarding this substantial amendment. Include a summary of any comments or views not accepted and the reasons for non-acceptance.

Response: Fourteen (14) persons attended the Public Hearing. This included six (6) City of Scranton Office of Economic and Community Development staff members, seven (7) from non-profit organizations that is part of the Continuum of Care and one (1) City of Scranton resident. The Public Hearing Comments for both the HRHP and CDBG-R is attached to this application along with the sign in sheets.

**C. Distribution and Administration of Funds**

Reminder: The HPRP grant will be made by means of a grant agreement executed by HUD and the grantee. The three-year deadline to expend funds begins when HUD signs the grant agreement. Grantees should ensure that sufficient planning is in place to begin to expend funds shortly after grant agreement.

1. Check the process(es) that the grantee plans to use to select subgrantees. Note that a subgrantee is defined as the organization to which the grantee provides HPRP funds.

Competitive Process

**Substantial Amendment to the Consolidated Plan 2008 Action Plan for the Homelessness Prevention and Rapid Re-Housing Program (HPRP)**

- Formula Allocation  
 Other (Specify: A Collaborative Process with the CoC)

2. Briefly describe the process(es) indicated in question 1 above (limit 250 words).

**Response:** Following the announcement of HPRP funding availability on March 19, 2009, the City of Scranton had discussions with United Neighborhood Centers, which is the lead agency for the Scranton/Lackawanna Continuum of Care to develop a collaborative process to determine eligible subgrantees and projects that would further meet the 10 Year Plan to End Homelessness. On March 26, 2009, the CoC Planning Committee met to identify unmet goals in the 10 Year Plan and Annual HUD CoC plan. The Committee also reviewed the ways in which the HPRP funding could be used to further advance the rapid re-housing initiatives that have already begun in the community. The committee then met again on April 1, 2009 to review project proposals and budget requests. Each of the six proposals submitted fit with a specific identified goal in the community's efforts to close the front door and open the back door to end homelessness. Examples include: four homeless prevention projects, one targeting eviction prevention in partnership with the court system; one targeting those that are precariously housed, a third working with victims of domestic violence and the fourth working to supplement existing efforts to keep individuals and families from experiencing homelessness. Two projects are rapid re-housing initiatives. The first will work with homeless pregnant women, including those exiting county prison. The second will work with difficult to serve homeless women and children that have barriers to establishing permanent housing through mainstream resources. These projects worked collaboratively to design projects with available funds.

3. Briefly describe the process the grantee plans to use, once HUD signs the grant agreement, to allocate funds available to subgrantees by September 30, 2009, as required by the HPRP Notice (limit 250 words).

**Response:** The City of Scranton has designated a lead staff person along with the Executive Director and the Director of Finance and Compliance within the Office of Economic and Community Development (OECD) to coordinate the effective and timely use of HPRP funds. Each sub grantee within the Scranton/Lackawanna Continuum of Care member agencies that will be designated as a subgrantees will have a separate contract with the lead agency.

4. Describe the grantee's plan for ensuring the effective and timely use of HPRP grant funds on eligible activities, as outlined in the HPRP Notice. Include a description of how the grantee plans to oversee and monitor the administration and use of its own HPRP funds, as well as those used by its subgrantees (limit 500 words).

**Response:** The City of Scranton will designate a lead staff person within the Office of Economic and Community Development (OECD) to coordinate the effective and

## **Substantial Amendment to the Consolidated Plan 2008 Action Plan for the Homelessness Prevention and Rapid Re-Housing Program (HPRP)**

timely use of HPRP funds. The City of Scranton OECD office is working in collaboration with the Scranton/Lackawanna Continuum of Care member agencies that will be the subgrantees. The CoC Planning Committee began meeting in March 2009 to determine eligible activities and develop a plan for implementation of both homeless prevention and rapid re-housing activities. Each of the proposed subgrantees are currently receiving HUD funds to implement Supportive Housing Programs and therefore are quite familiar with the development and implementation of new initiatives. In preparation for an October 1, 2009 start date the CoC Planning Committee will be developing an outreach plan, a referral process, initial screening and standardized intake process and forms. Each program will also develop timelines and benchmarks consistent with HPRP requirements.

The Scranton/Lackawanna CoC has 100% participation in their community HMIS. In addition, the domestic violence provider utilizes the same software and is therefore well prepared to be able to provide de-identified aggregate data for the universal data elements required. The Scranton/Lackawanna CoC also participated in the AHAR IV data collection/reporting process in late 2008 and is thus familiar with all HUD reporting requirements. The Scranton/Lackawanna CoC has a staff person dedicated to manage the HMIS process and she will continue to monitor and evaluate HMIS data quality, provide technical assistance to agency staff and work with the City of Scranton OECD office to ensure compliance with HPRP implementing regulations, the ARRA and other federal regulations. The City of Scranton OECD staff will ensure timely submission of quarterly and annual reports. The staff will also directly monitor compliance with the four eligible categories: financial assistance, housing relocation and stabilization services, data collection and evaluation and administrative activities. The City of Scranton OECD office has experience in utilizing the IDIS system for other federal grant programs and has monitored other federally funded programs such as ESG and CDBG. The City of Scranton OECD office and each individual subgrantee will have systems and internal controls in place to separately track and report on funds and activities per the federal requirements.

### **D. Collaboration**

1. Briefly describe how the grantee plans to collaborate with the local agencies that can serve similar target populations, which received funds under the American Recovery and Reinvestment Act of 2009 from other Federal agencies, including the U.S. Departments of Education, Health and Human Services, Homeland Security, and Labor (limit 250 words).

Response: The City of Scranton OECD office will collaborate with local agencies that serve homeless persons who may be receiving ARRA funds. The Scranton/Lackawanna County CoC is comprised of over 70 agencies and individuals including formerly homeless persons. Through this well-established network, the subgrantees will be able to network and collaborate with a variety of

**Substantial Amendment to the Consolidated Plan 2008 Action Plan for the Homelessness Prevention and Rapid Re-Housing Program (HPRP)**

both mainstream resources and other non-profit/faith-based agencies. The Scranton/Lackawanna CoC has both a monthly planning meeting and a larger Coalition meeting and thus will have multiple opportunities to explore funds available in the community through ARRA.

One of the yearly successes of the HUD Supportive Housing Programs has been the number of homeless clients who have been able to access mainstream resources. Case workers working with homeless individuals and families regularly seek services with their clients such as child care, healthcare, TANF and other public assistance, employment services, unemployment benefits, tax credits, education, domestic violence services, FEMA, supportive housing services, public housing and housing choice vouchers, and other community resources.

As more information becomes available from federal, state and local agencies regarding the availability and use of ARRA funds, subgrantees will work to determine potential participant eligibility and make referrals as relevant. The CoC process has also exemplified the importance of developing personal connections with community resources and the vital need to share information across service delivery systems. The HPRP implementation process will continue the coordinated community response already underway here in Scranton.

2. Briefly describe how the grantee plans to collaborate with appropriate Continuum(s) of Care and mainstream resources regarding HPRP activities (limit 250 words).

Response: As described earlier, immediately following the announcement of the HPRP funding initiative, the City of Scranton began the coordination and collaboration process with the Scranton/Lackawanna County CoC. Representatives from the City of Scranton OECD office are an active participant in the CoC planning process. The City of Scranton has a long history of working with the service delivery system. In 2004, the City collaborated with Lackawanna County Commissioners and the Continuum of Care Planning Committee to author the 10-Year Plan to End Chronic Homelessness and Other Homelessness. As such, the planning process for the HPRP funds was designed with the intent to focus on unmet goals within both the 10-Year Plan and the Annual CoC Goal Plan. Agencies within the CoC came together to determine which aspects of the HPRP funds were most needed within the City of Scranton. From that initial meeting a plan to develop both homeless prevention and rapid re-housing services was established. The CoC Planning Committee, including representatives from the City of Scranton OECD office will continue to meet over the next several months to coordinate plans for program implementation including screening, referral, service coordination and program evaluation. Finally, the Scranton/Lackawanna County CoC will continue to collaborate with mainstream resources so that all community resources are onboard and ready to assist in meeting the needs of the community homeless persons and those most vulnerable and at-risk for homeless.



**Substantial Amendment to the Consolidated Plan 2008 Action Plan for the Homelessness Prevention and Rapid Re-Housing Program (HPRP)**

3. Briefly describe how HPRP grant funds for financial assistance and housing relocation/stabilization services will be used in a manner that is consistent with the grantee's Consolidated Plan (limit 250 words).

Response: The City of Scranton's current 5 Year was written in collaboration with the Scranton/Lackawanna CoC. At the time of the current plan, the community's 10-Year Plan was "hot off the press" and the community had identified three primary areas of focus as part of the community's movement to a Housing First Model. By working to close the front, open the back and build the infrastructure to end homelessness this community worked to address all aspects of homelessness. The United States Interagency Council on Homelessness Executive Director Phillip Mangano described the Scranton/Lackawanna County plan as a strategy that "rises above partisanship and polarization to a planful partnership of public, private, and faith-based sectors that is a model for cities and counties of similar size across our country." The HPRP funds for financial assistance and housing relocation/stabilization services will provide the needed resources to further implement the 10-Year Plan to End Homelessness and the City of Scranton's Consolidated Plan in its entirety. During 2009, the City of Scranton will be developing its next 5-Year Consolidated Plan and thus CoC planning in general and the more recent planning for the implementation of the HPRP funds will be a central aspect of the city's coordinated response to homelessness.

**Substantial Amendment to the Consolidated Plan 2008 Action Plan for the Homelessness Prevention and Rapid Re-Housing Program (HPRP)**

**E. Estimated Budget Summary**

HUD requires the grantee to complete the following table so that participants in the citizen participation process may see the grantee's preliminary estimated amounts for various HPRP activities. Enter the estimated budget amounts for each activity in the appropriate column and row. The grantee will be required to report actual amounts in subsequent reporting.

<b>HPRP Estimated Budget Summary</b>			
	<b>Homelessness Prevention</b>	<b>Rapid Re-housing</b>	<b>Total Amount Budgeted</b>
Financial Assistance <sup>1</sup>	\$403,063	\$264,000	\$667,063
Housing Relocation and Stabilization Services <sup>2</sup>	\$514,712	\$150,000	\$664,712
<b>Subtotal</b> (add previous two rows)	<b>\$917,775</b>	<b>\$414,000</b>	<b>\$1,331,775</b>

Data Collection and Evaluation <sup>3</sup>	\$0
Administration (up to 5% of allocation)	\$ 70,093
<b>Total HPRP Amount Budgeted<sup>4</sup></b>	<b>\$1,401,868.00</b>

<sup>1</sup>Financial assistance includes the following activities as detailed in the HPRP Notice: short-term rental assistance, medium-term rental assistance, security deposits, utility deposits, utility payments, moving cost assistance, and motel or hotel vouchers.

<sup>2</sup>Housing relocation and stabilization services include the following activities as detailed in the HPRP Notice: case management, outreach, housing search and placement, legal services, mediation, and credit repair.

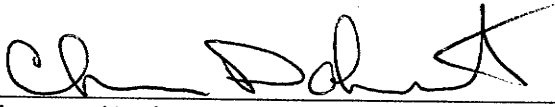
<sup>3</sup>Data collection and evaluation includes costs associated with operating HUD-approved homeless management information systems for purposes of collecting unduplicated counts of homeless persons and analyzing patterns of use of HPRP funds.

<sup>4</sup>This amount must match the amount entered in the cell on the table in Section A titled "Amount Grantee is Requesting."

**Substantial Amendment to the Consolidated Plan 2008 Action Plan for the  
Homelessness Prevention and Rapid Re-Housing Program (HPRP)**

**F. Authorized Signature**

By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete, and accurate to the best of my knowledge. I also provide the required assurances and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

  
\_\_\_\_\_  
Signature/Authorized Official

*May 12, 2009*  
\_\_\_\_\_  
Date

*Mayor*  
\_\_\_\_\_  
Title

## Homelessness Prevention and Rapid Re-Housing Program (HPRP) Certifications

The HPRP Grantee certifies that:

**Consolidated Plan** – It is following a current HUD-approved Consolidated Plan or CHAS.

**Consistency with Plan** – The housing activities to be undertaken with HPRP funds are consistent with the strategic plan.

**Confidentiality** – It will develop and implement procedures to ensure:

- (1) The confidentiality of records pertaining to any individual provided with assistance; and
- (2) That the address or location of any assisted housing will not be made public, except to the extent that this prohibition contradicts a preexisting privacy policy of the grantee.

**Discharge Policy** – A certification that the State or jurisdiction has established a policy for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons.

**HMIS** – It will comply with HUD's standards for participation in a local Homeless Management Information System and the collection and reporting of client-level information.

  
\_\_\_\_\_  
Signature/Authorized Official

*May 12, 2009*  
\_\_\_\_\_  
Date

*Mayor*  
\_\_\_\_\_  
Title

## GENERAL CERTIFICATIONS FOR STATE OR LOCAL GOVERNMENT FOR THE HOMELESSNESS PREVENTION AND RAPID RE-HOUSING PROGRAM (HPRP)

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the state, territory, or local government certifies that:

**Affirmatively Further Fair Housing** -- The state, territory, or local government will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction or state, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Drug-Free Workplace** -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about:
  - (a) The dangers of drug abuse in the workplace;
  - (b) The grantee's policy of maintaining a drug-free workplace;
  - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
  - (a) Abide by the terms of the statement; and
  - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -
  - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

- (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, state, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

**Anti-Lobbying** -- To the best of the state, territory, or local government's knowledge and belief:

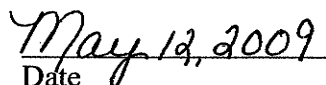
1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraphs 1 and 2 of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Local Government, State, or Territory** -- The submission of the consolidated plan is authorized under state law and local law (as applicable) and the jurisdiction or state possesses the legal authority to carry out the programs under the consolidated plan for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with Plan** -- The housing activities to be undertaken with HPRP funds are consistent with the strategic plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

  
\_\_\_\_\_  
Signature/Authorized Official

  
\_\_\_\_\_  
Date

  
\_\_\_\_\_  
Title

## APPENDIX TO CERTIFICATIONS

### INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

#### A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

#### B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The Grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

538 SPRUCE ST  
SUITE 812  
SCRANTON, PA 18503

Check  if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).



**Office of Economic and Community Development (OECD)  
538 Spruce Street  
Suite 812  
Scranton, Pennsylvania 18503**

## **Homelessness Prevention and Rapid Re-Housing Program (HPRP)**

**Attached is a breakdown for each organization and the funding source that is projected for each organization.**

**The following are the names of the organizations that are part of the Continuum of Care:**

**Catherine McAuley Center  
St. Joseph's Center  
United Neighborhood Centers of NE PA (UNC)  
Women's Resource Center (WRC)  
Catholic Social Services (CSS)  
Community Intervention Center (CIC)**

Financial Assistance	Homeless Prevention	Rapid Re-Housing	Total		Finan	Relocation	Admin	Total
McAuley		\$144,000						
CIC	\$69,000				144000	90000	6141	240141
St. Joe's		\$120,000			69000	141000	5541	215541
CSS	\$102,217				120000	60000	4791	184791
WRC	\$104,760				102217	107783	5541	215541
UNC	\$127,086				104760	105240	5541	215541
<b>TOTAL</b>	<b>\$403,063</b>	<b>\$264,000</b>	<b>\$667,063</b>		<b>127086</b>	<b>160689</b>	<b>7491</b>	<b>295266</b>
<b>Housing Relocation</b>	<b>Homeless Prevention</b>	<b>Rapid Re-Housing</b>	<b>Total</b>					
McAuley		\$90,000						
CIC	\$141,000							
St. Joe's	\$60,000							
CSS	\$107,783							
WRC	\$105,240							
UNC	\$160,689							
<b>TOTAL</b>	<b>\$514,712</b>	<b>\$150,000</b>	<b>\$664,712</b>					
<b>SubTotal</b>	<b>\$917,775</b>	<b>\$414,000</b>	<b>\$1,331,775</b>					
<b>Admin for Programs</b>								
McAuley		\$6,141						
CIC	\$5,541							
St. Joe's	\$5,541							
CSS	\$5,541							
WRC	\$5,541							
UNC	\$7,491							
<b>TOTAL for programs</b>	<b>\$24,114</b>	<b>\$10,932</b>	<b>\$35,046</b>					
<b>City of Scranton Admin</b>								
			\$35,047					
			\$1,401,868					

**Office of Economic and Community Development (OECD)  
538 Spruce Street  
Suite 812  
Scranton, Pennsylvania 18503**

**Homelessness Prevention and Rapid Re-Housing Program  
(HPRP)**

**Ordinance Amending Consolidated Plan/Action Plan 2008**

FILE OF COUNCIL NO. \_\_\_\_\_

2009

AN ORDINANCE

**AMENDING FILE OF COUNCIL NO. 123, 2007, ENTITLED, "AN ORDINANCE AUTHORIZING THE MAYOR AND OTHER APPROPRIATE OFFICIALS OF THE CITY OF SCRANTON TO TAKE ALL NECESSARY ACTIONS TO IMPLEMENT THE CONSOLIDATED SUBMISSION FOR COMMUNITY PLANNING AND DEVELOPMENT PROGRAMS (AS AMENDED) TO BE FUNDED UNDER THE COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) PROGRAM, HOME INVESTMENT PARTNERSHIP (HOME) PROGRAM AND EMERGENCY SHELTER GRANT (ESG) PROGRAM", BY AMENDING THE CONSOLIDATED PLAN AND THE 2008 ACTION PLAN TO INCLUDE NEW FUNDING UNDER TITLE XII OF THE FEDERAL AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009 ("RECOVERY ACT") FOR THE HOMELESS PREVENTION AND RAPID RE-HOUSING PROGRAM (HPRP) AND THE COMMUNITY DEVELOPMENT BLOCK GRANT-RECOVERY (CDBG-R) THAT RESULTED FROM THE RECOVERY ACT.**

WHEREAS, the Consolidated Plan and the 2008 Action Plan list certain plans and projects to be undertaken with Community Development Block Grant (CDBG) Funds; and

WHEREAS, it is necessary to amend File of the Council No. 123, 2007 to include two new funding programs that resulted under the Federal American Recovery and Reinvestment Act of 2009 ("Recovery Act"); and

WHEREAS, the Homeless Prevention and Rapid Re-Housing Program (HPRP) funding in the amount of \$1,401,867.00 from the U.S. Department of Housing and Urban Renewal (HUD) will provide financial assistance and services to prevent individuals and families from becoming homeless and help those who are experiencing homelessness to be quickly re-housed and stabilized; and

WHEREAS, the Community Development Block Grant – Recovery (CDBG-R) funding in the amount of \$916,485.00 from the U.S. Department of Housing and Urban Development (HUD) will in accordance with federal guidelines and requirements, give priority to eligible activities that can be implemented with 120 days from the date the funds are made available. These projects will be given priority so that Office of Economic and Community Development can expend funds quickly in accordance with CDBG-R guidance;

and

**WHEREAS**, OECD plans to oversee and monitor the administration and use of the CDBG-R in accordance with the practices currently in place and approved by HUD for use in the CDBG program, local requirements as well as OECD's standard operating procedures. These procedures include monitoring and record keeping in compliance with all federal requirements.

**NOW, THEREFORE, BE ORDAINED BY THE COUNCIL OF THE CITY OF SCRANTON** that the Consolidated Plan and the 2008 Action Plan are hereby amended to include new funding under Title XII of the Federal American Recovery and Reinvestment Act of 2009 ("Recovery Act") for the Homeless Prevention and Rapid Re-Housing Program (HPRP) and the Community Development Block Grant-Recovery (CDBG-R) that resulted from the Recovery Act.

**SECTION 1.** In all other aspects, File of Council No. 123, 2007, shall remain in full force and effect.

**SECTION 2.** If any section, clause, provision or portion of this Ordinance shall be held invalid or unconstitutional by any Court of competent jurisdiction such decision shall not affect any other section, clause, provision or portion of this Ordinance so long as it remains legally enforceable minus the invalid portion. The City reserves the right to amend this Ordinance or any portion thereof from time to time as it shall deem advisable in the best interest of the promotion of the purposes and intent of this Ordinance, and the effective administration thereof.

**SECTION 3.** This Ordinance shall become effective immediately upon approval.

**SECTION 4.** This Ordinance is enacted by the Council of the City of Scranton under the authority of the Act of Legislature, April 13, 1972, Act No. 62, known as the "Home Rule Charter and Option Plans Law" and any other applicable law arising under the laws of the State of Pennsylvania.

**Office of Economic and Community Development (OECD)  
538 Spruce Street  
Suite 812  
Scranton, Pennsylvania 18503**

**Homelessness Prevention and Rapid Re-Housing Program  
(HPRP)**

**Public Hearing**

**CITY OF SCRANTON**  
**OFFICE OF ECONOMIC AND COMMUNITY DEVELOPMENT**  
**PUBLIC HEARING**

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**Held:**  
**Wednesday, April 29, 2009**

**Time:**  
**4:00 p.m.**

**Location:**  
**Council Chambers**  
**Scranton City Hall**  
**340 North Washington Avenue**  
**Scranton, Pennsylvania**

**Lisa M. Graff, RMR**  
**Court Reporter**

1     **A P P E A R A N C E S :**

2

3     **MS. LINDA B. AEBLI, EXECUTIVE DIRECTOR OF OECD**

4

5     **MS. LORI REED, DEPUTY DIRECTOR OF OECD**

6

7     **MR. KEVIN MITCHELL, DIRECTOR OF FINANCE AND COMPLIANCE**

8

9     **MR. LEO D'ANGELO, DIRECTOR OF PLANNING AND DEVELOPMENT**

10

11     **MS. LIZA SKELTON, DIRECTOR OF HOUSING**

12

13     **MS. CHRIS TANSITS, PROJECT COORDINATOR**

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1 MS. AEBLI: I'd like to welcome  
2 everyone to this public hearing. My name is  
3 Linda Aebli, I'm the Executive Director of  
4 the Office of Economic and Community  
5 Development.

6 Before we begin, it gives me great  
7 pleasure to introduce our OECD staff. On my  
8 right is Lori Reed, she's the Deputy  
9 Director of OECD. She oversees our small  
10 business loans.

11 Kevin Mitchell, on my left, he's the  
12 Director of Finance and Compliance. Kevin  
13 does all of our financing, along with  
14 checking to see that we're all in  
15 compliance, the HUD regulations.

16 Next to Kevin is Leo, he's the  
17 Director of Planning and Development. Leo  
18 oversees most of our construction projects  
19 when we fund parks, the demolition, the  
20 paving program.

21 Sitting down in front is Liza  
22 Skelton. She's the Director of Housing.  
23 Liza oversees all of our housing programs,  
24 which include the HOME Program, our  
25 Homebuyer Program and our Housing Rehab

1 Program.

2 Next to her is Chris Tansits is our  
3 project coordinator. Chris works with all  
4 of our public services and our Emergency  
5 Shelter Grant Sub-Grantees. And when the  
6 Homeless Prevention and Rapid Rehousing  
7 Program begins, she also will be overseeing  
8 them.

9 I personally want to thank just not  
10 the staff that is here today, but all of  
11 OECD. We're a great crew, we all work good  
12 together.

13 This public hearing is being held to  
14 receive public comment for the following, A  
15 substantial amendment is needed to change  
16 the City of Scranton's Consolidated Plan and  
17 the 2008 Annual Action Plan to include the  
18 new funding under Title XII of the American  
19 Recovery and Reinvestment Act of 2009 known  
20 as the Recovery Act that President Barack  
21 Obama signed into law on February 17, 2009.

22 The new funding Scranton has been  
23 designated to receive will include two  
24 programs, The Homeless Prevention and Rapid  
25 Rehousing Program known as HPRP and the

1 Community Development Block Grant-Recovery  
2 known as CDBG-R.

3 Before we start receiving public  
4 comments, please be aware this meeting is  
5 being recorded in order to transcribe your  
6 comments to be included in both applications  
7 to HUD.

8 This public meeting is being held on  
9 Wednesday, April 29, 2009 at four o'clock in  
10 City of Scranton's Council Chambers, City  
11 Hall, 340 North Washington Avenue in  
12 Scranton, Pennsylvania.

13 I want everyone to be aware that HUD  
14 has reduced the substantial amendment  
15 comment period from 30 days to 12 days. All  
16 comments must be received to OECD no later  
17 than 4:30 on Monday, May 11, 2009.

18 The city has been allocated  
19 \$1,401,867.00 for the Homeless Prevention  
20 and Rapid Rehousing Program known as HPRP.  
21 HPRP will provide financial assistance and  
22 services to either prevent individuals and  
23 families from becoming homeless or help  
24 those who are experiencing homelessness to  
25 be quickly rehoused and stabilized.

1                   Only individuals that have an income  
2                   under the 50 percent median income  
3                   established by HUD for the City of Scranton  
4                   qualify to receive assistance under this  
5                   program.

6                   Scranton is required and has  
7                   coordinated with the local Continuum of Care  
8                   to ensure the HPRP activities are aligned  
9                   with Continuum of Care strategies for  
10                  preventing and ending homelessness.

11                  The HPRP application must be  
12                  received by HUD no later than May 18, 2009.  
13                  HUD will complete its review of all  
14                  substantial amendments no later than July 2.

15                  HUD intends to execute all grant  
16                  agreements no later than September 1 and  
17                  Scranton must obligate all grant agreements  
18                  no later than September 30, to its grantees.

19                  The Recovery Act requires grantees  
20                  to expend 60 percent of all HPRP grant  
21                  funding within two years of the date the  
22                  funds become available to Scranton and 100  
23                  percent of the funds must be expended by  
24                  September 30, 2012.

25                  HUD also allocated to Scranton

1 \$916,485 to the second program entitled  
2 Community Development Block Grant-Recovery.  
3 This program will be similar to our  
4 Community Development Block Grant Program;  
5 however, HUD has not indicated there will be  
6 -- HUD has indicated there will be  
7 limitations on this funding that CDBG  
8 funding does not have.

9 To ease the administrative burden on  
10 the grantees, HUD encouraged grantees to  
11 have a public hearing for both the HPRP and  
12 the CDBG-R to change their consolidated plan  
13 and action plan at the same time.

14 I want to point out, though, as of  
15 today, April 29, HUD has not released the  
16 Notice of Regulations for the Community  
17 Development Block Grant-R Program or the  
18 date the application must be received by  
19 HUD.

20 With that being said, the city is  
21 only proposing in this substantial amendment  
22 what activities we plan on funding with  
23 CDBG-R based on the information we have  
24 received from HUD to date.

25 As soon as the regulations are

1 released, we need to review the regulations  
2 to determine that all activities we proposed  
3 are eligible under the CDBG-R Program. If  
4 not, unfortunately, we will need to have  
5 another public hearing to incorporate the  
6 changes into this substantial amendment.

7 We will now receive the public's  
8 comments on Scranton's substantial  
9 amendment. When speaking, speak clearly and  
10 state your name and residence.

11 I would like to introduce our first  
12 speaker, Lea Dougherty. Lea is the Deputy  
13 Director of United Neighborhood Centers of  
14 Northeastern Pennsylvania.

15 Lea is also the lead contact for the  
16 Scranton Lackawanna Continuum of Care  
17 Planning Committee. Lea will be providing  
18 us with more detailed information regarding  
19 the Homelessness Prevention and Rapid  
20 Rehousing Program.

21 MS. DOUGHERTY: Thank you, Linda.  
22 At this time I'd like to just comment on the  
23 process that was used over the last six  
24 weeks for the implementation of the Homeless  
25 Prevention and Rapid Rehousing Program.

1           Following the announcement of HPRP funding  
2           availability on March 19, 2009, the City of  
3           Scranton had discussions with United  
4           Neighborhood Centers, which is the lead  
5           agency for the Scranton Lackawanna Continuum  
6           of Care.

7           This conversation was to develop a  
8           collaborative process to determine eligible  
9           subgrantees and projects that would further  
10          meet the ten-year plan to end homelessness  
11          for Scranton and Lackawanna County.

12          On March 26, 2009, the CoC planning  
13          committee, an established group in  
14          Lackawanna County, met to identify the unmet  
15          goals in the ten-year plan and the annual  
16          HUD CoC plan.

17          The committee also reviewed the ways  
18          in which the HPRP funding could be used to  
19          further advance the rapid rehousing  
20          initiatives which have already begun in the  
21          community.

22          The committee then met again on  
23          April 1, 2009 to review project proposals  
24          and budget requests. Six proposals were  
25          submitted from the agencies in the community

1 that fit with specific identified goals in  
2 the community's efforts to close the front  
3 door and open the back door to end  
4 homelessness.

5 The six projects proposed include  
6 four homeless prevention projects, one from  
7 Catholic Social Services targeting those  
8 individuals who are -- to supplement  
9 existing efforts to keep individuals and  
10 families from experiencing homelessness, one  
11 from Community Intervention Center targeting  
12 those that are precariously housed, a third  
13 from Women's Resource Center working with  
14 victims of domestic violence, and the fourth  
15 from United Neighborhood Centers working on  
16 a homeless eviction prevention program in  
17 partnership with the judicial system.

18 In addition there are two projects  
19 that will work on the initiatives called  
20 rapid rehousing. The first will work with  
21 homeless pregnant women, including those  
22 exiting Lackawanna County Prison, second  
23 will work with difficult to serve homeless  
24 women and children that have multiple  
25 barriers to establishing permanent housing



1 through mainstream resources.

2 These projects work collaboratively  
3 with each other and with the City of  
4 Scranton to meet all of the goals outline  
5 through both the ten-year plan to end  
6 homelessness and HUD's annual continuum of  
7 care plan.

8 MS. AEBLI: Thank you. Our next  
9 speaker is Marie Schumaker.

10 MS. SCHUMAKER: Good afternoon,  
11 Marie Schumaker. I do have a couple of  
12 questions, and I recognize you're just here  
13 to hear public comment, but I do hope to get  
14 the answers to the questions at some point,  
15 one because I think they have a potential to  
16 intersect is, I don't believe we've ever  
17 been told what the outcome of the  
18 Neighborhood Stabilization Proposal was, if  
19 that's been resolved. Do you want to do it  
20 now?

21 MS. AEBLI: Yeah. To date, we have  
22 not received anything from the DCED. They  
23 are still reviewing.

24 MS. SCHUMAKER: I thought it was a  
25 short -- I thought it was like a ten-day or

1 a couple weeks turnaround.

2 MS. AEBLI: No one has. They have  
3 not released that information yet.

4 MS. SCHUMAKER: Okay. And then is  
5 the comment time changed permanently or is  
6 it just for these Recovery Act Programs?  
7 Because you can go on vacation and totally  
8 miss a 12-day period of time.

9 MS. AEBLI: It's just for the  
10 stimulus money.

11 MS. SCHUMAKER: Okay. Thank you.  
12 Okay. Once again, even with the  
13 disclaimers, it seems that, once again, the  
14 cart is being put before the horse, in that,  
15 projects are being selected prior to the  
16 issuance of the delivery of the guidelines,  
17 other than the quick spend aspect of the  
18 guidelines.

19 I question why the Crisp Avenue  
20 bridge was selected. The Rockwell Avenue  
21 bridge, I believe it is, has been under --  
22 on the list for years and currently has  
23 weight restrictions that put people at risk,  
24 people and property at risk, because fire  
25 apparatus exceed the weight limitations on

1 that bridge as it now stands and people have  
2 to go -- or the fire department would have  
3 to go a circuitous route instead of a direct  
4 route, and as we know in a fire, every  
5 second counts.

6 I'd like to know if there would be a  
7 separate advertisement for the utilization  
8 of the job creation funds and the Homeless  
9 Prevention and Rapid Rehousing Program via a  
10 media solicitation.

11 I just listened to that proposal,  
12 and I didn't hear any hows. I heard who was  
13 going to get the money, but I didn't really  
14 -- I don't really understand or I don't  
15 think it was explained how that's going to  
16 happen.

17 And, again, the HPRP section  
18 describes strategic planning to address and  
19 prevent homelessness, but I don't find any  
20 program to tie the job creation.

21 I think there should be a program to  
22 tie the job creation and the HPRP funding.  
23 How do the funds in the homeless prevention  
24 fund prevent homelessness? Are these the  
25 permanent solutions or mere temporary

1 housing?

2 And finally, will the lower Green  
3 Ridge residents' requests for a flood alert  
4 siren be included in any of the above  
5 projects? They've been looking for that for  
6 a long time.

7 And that sort of ties back in. I  
8 think it would have been nice if there had  
9 been a public safety category would have  
10 been most beneficial, because these items  
11 affect people and property, both the bridge  
12 restrictions and being notified whether or  
13 not there's going to be a flood in the  
14 middle of the night. Thank you.

15 MS. AEBLI: Thank you, Mary. Thank  
16 you. Is there any more speakers? Okay.  
17 Well, this closes the meeting for the public  
18 hearing for the substantial amendment and  
19 thank you for coming. Thank you.

20 (HEARING WAS CONCLUDED.)  
21  
22  
23  
24  
25

C E R T I F I C A T E

I hereby certify that the proceedings and evidence are contained fully and accurately in the notes taken by me on the hearing of the above cause and that this copy is a correct transcript of the same to the best of my ability.

*Lisa M. Graff, RMR*

---

LISA M. GRAFF, RMR  
Official Court Reporter

*(The foregoing certificate of this transcript does not apply to any reproduction of the same by any means unless under the direct control and/or supervision of the certifying reporter.)*

Public Hearing for the Substantial Amendment to the Consolidated Plan - Homeless Prevention and Rapid Re-Housing Program

Wednesday, April 29, 2009, 4:00 PM - Council Chambers, City Hall

Name	Address/Organization	Phone	Speaking? Yes/No
Peg Luddy	WPC	346-4460	NO
Tom Finerty	CF	342-4298	NO
William Miller	Catholic Soc. Services	207-8282	NO
Lea Dougherty	UNC	348- <del>0859</del>	Yes
Kevin Mikolaj	DECP	348-4216	No
Leo Danzelo	OECD	348-4216	No
Linda Celi	OECD	348-4216	Yes
Maureen Charnock	Scranton	-	Yes
A. Ann Tralch	H. Joseph's Center	558-5050	No
James Stephens	St. Joseph's Center	903-1261	No
Michael Han	U.W.C.	346-0754	No
Jan Reed	City of Scranton	348-4216	NO

**Public Hearing for the Substantial Amendment to the  
Consolidated Plan - Homeless Prevention and Rapid Re-Housing Program**

Wednesday, April 29, 2009, 4:00 PM - Council Chambers, City Hall

Name	Address/Organization	Phone	Speaking? Yes/No
Liza Shelton	City of Scranton-OECD	346-5928	NO
CHRIS Tansits	Scranton OECD	348-4216	NO

**Office of Economic and Community Development (OECD)  
538 Spruce Street  
Suite 812  
Scranton, Pennsylvania 18503**

**Homelessness Prevention and Rapid Re-Housing Program  
(HPRP)**

**Application for Federal Assistance SF-424**



**Application for Federal Assistance SF-424**

Version 02

**\*1. Type of Submission:**

- Preapplication
- Application
- Changed/Corrected Application

**\*2. Type of Application**

- New
- Continuation
- Revision

\* If Revision, select appropriate letter(s)

\*Other (Specify) \_\_\_\_\_

**3. Date Received:**

May 14, 2009

**4. Applicant Identifier:**

Scranton, Pennsylvania

**5a. Federal Entity Identifier:**

**\*5b. Federal Award Identifier:**

**State Use Only:**

**6. Date Received by State:**

**7. State Application Identifier:**

**8. APPLICANT INFORMATION:**

\*a. Legal Name: Scranton, Pennsylvania

\*b. Employer/Taxpayer Identification Number (EIN/TIN):  
24-6000704

\*c. Organizational DUNS:  
060-497856

**d. Address:**

\*Street 1: 340 North Washington Avenue  
Street 2: City Hall  
\*City: Scranton  
County: Lackawanna  
\*State: Pennsylvania  
Province: \_\_\_\_\_  
\*Country: United States  
\*Zip / Postal Code 18503

**e. Organizational Unit:**

Department Name:  
Office of Economic and Community Development

Division Name:  
OECD

**f. Name and contact information of person to be contacted on matters involving this application:**

Prefix: Ms. \*First Name: Linda  
Middle Name: B.  
\*Last Name: Aebli  
Suffix: \_\_\_\_\_

Title: Executive Director

Organizational Affiliation:  
na

\*Telephone Number: 570/348-4216

Fax Number: 570/348-4123

\*Email: Laebli@scrantonpa.gov

**Application for Federal Assistance SF-424**

Version 02

**\*9. Type of Applicant 1: Select Applicant Type:**

C. City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\*Other (Specify)

**\*10 Name of Federal Agency:**

U. S. Department of Housing and Urban Development

**11. Catalog of Federal Domestic Assistance Number:**

14.257

CFDA Title:

Homelessness Prevention and Rapid Re-Housing Program (HPRP)

**\*12 Funding Opportunity Number:**

Docket No. FR-5307-N-01

\*Title:

Homelessness Prevention and Rapid Re-Housing Program (HPRP)

**13. Competition Identification Number:**

NA

Title:

NA

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

Scranton, Pennsylvania

**\*15. Descriptive Title of Applicant's Project:**

Homeless Prevention and Rapid Re-Housing Program (HPRP - see attached description

Scranton joined Scranton/Lackawanna Continuum of Care that consists of six non profit organizations.

Each of the six proposals submitted fit with a specific identified goal in the community's efforts to close the front door and open the back door to end homelessness. Examples include: four homeless prevention projects, one targeting eviction prevention in

partnership with the court system; one targeting those that are precariously housed, a third working with victims of domestic violence and the fourth working to supplement existing efforts to keep individuals and families from experiencing homelessness. Two projects are rapid re-housing initiatives. The first will work with homeless pregnant women, including those exiting county prison. The second will work with difficult to serve homeless women and children that have barriers to establishing permanent housing through mainstream resources. These projects worked collaboratively to design projects with available funds.

OMB Number: 4040-0004

Expiration Date: 01/31/2009

**Application for Federal Assistance SF-424**

Version 02

**16. Congressional Districts Of:**

\*a. Applicant: 11<sup>th</sup> and 10<sup>th</sup>

\*b. Program/Project: 10<sup>th</sup> & 11<sup>th</sup>

**17. Proposed Project:**

\*a. Start Date: 10/1/2009

\*b. End Date: 9/30/2012

**18. Estimated Funding (\$):**

*a. Federal	_____	\$1,401,868.00
*b. Applicant	_____	
*c. State	_____	
*d. Local	_____	
*e. Other	_____	
*f. Program Income	_____	
*g. TOTAL	_____	\$1,401,868.00

**\*19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on \_\_\_\_\_
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E. O. 12372

**\*20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)**

- Yes
- No

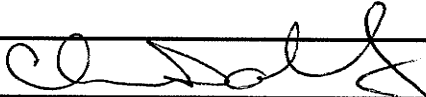
21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions

**Authorized Representative:**

Prefix: Mr. \_\_\_\_\_ \*First Name: Christopher \_\_\_\_\_  
 Middle Name: A. \_\_\_\_\_  
 \*Last Name: Doherty \_\_\_\_\_  
 Suffix: \_\_\_\_\_

*Title: Mayor City of Scranton, Pennsylvania	
*Telephone Number: 570/348-4216	Fax Number: 570/348-4123
* Email: NA	
*Signature of Authorized Representative: 	*Date Signed: 5/12/2009

Authorized for Local Reproduction

Standard Form 424 (Revised 10/2005)

Prescribed by OMB Circular A-102

**Application for Federal Assistance SF-424**

Version 02

**\*Applicant Federal Debt Delinquency Explanation**

The following should contain an explanation if the Applicant organization is delinquent of any Federal Debt.

NA