

Opportunity Title:	Recovery Act ? Energy Efficiency and Conservation Block
Offering Agency:	National Energy Technology Laboratory
CFDA Number:	81.128
CFDA Description:	Energy Efficiency & Conservation Block Grant Program
Opportunity Number:	DE-FOA-0000013
Competition ID:	
Opportunity Open Date:	03/26/2009
Opportunity Close Date:	06/25/2009
Agency Contact:	Sue Miltenberger Contract Specialist E-mail: EECBG@netl.doe.gov

This electronic grants application is intended to be used to apply for the specific Federal funding opportunity referenced here.

If the Federal funding opportunity listed is not the opportunity for which you want to apply, close this application package by clicking on the "Cancel" button at the top of this screen. You will then need to locate the correct Federal funding opportunity, download its application and then apply.

This opportunity is only open to organizations, applicants who are submitting grant applications on behalf of a company, state, local or tribal government, academia, or other type of organization.

* Application Filing Name:

Mandatory Documents

Move Form to Complete

Move Form to Delete

Mandatory Documents for Submission

Application for Federal Assistance (SF-424)
Other Attachments Form
Project/Performance Site Location(s)

Optional Documents

Disclosure of Lobbying Activities (SF-LLL)

Move Form to Submission List

Move Form to Delete

Optional Documents for Submission

Instructions

- 1** Enter a name for the application in the Application Filing Name field.

 - This application can be completed in its entirety offline; however, you will need to login to the Grants.gov website during the submission process.
 - You can save your application at any time by clicking the "Save" button at the top of your screen.
 - The "Save & Submit" button will not be functional until all required data fields in the application are completed and you clicked on the "Check Package for Errors" button and confirmed all data required data fields are completed.
- 2** Open and complete all of the documents listed in the "Mandatory Documents" box. Complete the SF-424 form first.

 - It is recommended that the SF-424 form be the first form completed for the application package. Data entered on the SF-424 will populate data fields in other mandatory and optional forms and the user cannot enter data in these fields.
 - The forms listed in the "Mandatory Documents" box and "Optional Documents" may be predefined forms, such as SF-424, forms where a document needs to be attached, such as the Project Narrative or a combination of both. "Mandatory Documents" are required for this application. "Optional Documents" can be used to provide additional support for this application or may be required for specific types of grant activity. Reference the application package instructions for more information regarding "Optional Documents".
 - To open and complete a form, simply click on the form's name to select the item and then click on the => button. This will move the document to the appropriate "Documents for Submission" box and the form will be automatically added to your application package. To view the form, scroll down the screen or select the form name and click on the "Open Form" button to begin completing the required data fields. To remove a form/document from the "Documents for Submission" box, click the document name to select it, and then click the <= button. This will return the form/document to the "Mandatory Documents" or "Optional Documents" box.
 - All documents listed in the "Mandatory Documents" box must be moved to the "Mandatory Documents for Submission" box. When you open a required form, the fields which must be completed are highlighted in yellow with a red border. Optional fields and completed fields are displayed in white. If you enter invalid or incomplete information in a field, you will receive an error message.
- 3** Click the "Save & Submit" button to submit your application to Grants.gov.

 - Once you have properly completed all required documents and attached any required or optional documentation, save the completed application by clicking on the "Save" button.
 - Click on the "Check Package for Errors" button to ensure that you have completed all required data fields. Correct any errors or if none are found, save the application package.
 - The "Save & Submit" button will become active; click on the "Save & Submit" button to begin the application submission process.
 - You will be taken to the applicant login page to enter your Grants.gov username and password. Follow all onscreen instructions for submission.

Application for Federal Assistance SF-424

Version 02

* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify) <input type="text"/>
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* 3. Date Received: Completed by Grants.gov upon submission.	4. Applicant Identifier: <input type="text"/>
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5a. Federal Entity Identifier: N/A	* 5b. Federal Award Identifier: <input type="text"/>
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State Use Only:

6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>
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8. APPLICANT INFORMATION:

* a. Legal Name: City of Scranton, PA	
* b. Employer/Taxpayer Identification Number (EIN/TIN): 24-6000704	* c. Organizational DUNS: 060497856

d. Address:

* Street1:	340 North Washington Avenue
Street2:	<input type="text"/>
* City:	Scranton
County:	Lackawanna County
* State:	PA: Pennsylvania
Province:	<input type="text"/>
* Country:	USA: UNITED STATES
* Zip / Postal Code:	18503

e. Organizational Unit:

Department Name: Scranton OECD	Division Name: <input type="text"/>
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f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Ms.	* First Name: Linda
Middle Name: B.	
* Last Name: Aebli	
Suffix:	<input type="text"/>

Title: Executive Director

Organizational Affiliation:

<input type="text"/>

* Telephone Number: (570) 348 4216	Fax Number: (570) 348 4123
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* Email: laebli@scrantonpa.gov

Application for Federal Assistance SF-424

Version 02

9. Type of Applicant 1: Select Applicant Type:

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

National Energy Technology Laboratory

11. Catalog of Federal Domestic Assistance Number:

81.128

CFDA Title:

Energy Efficiency & Conservation Block Grant Program

*** 12. Funding Opportunity Number:**

DE-FOA-0000013

* Title:

Recovery Act ? Energy Efficiency and Conservation Block Grants ? Formula Grants

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Scranton

*** 15. Descriptive Title of Applicant's Project:**

The City of Scranton Energy Efficiency Plan

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

Version 02

16. Congressional Districts Of:

* a. Applicant PA-011

* b. Program/Project PA-011

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date: 07/01/2009

* b. End Date: 06/30/2012

18. Estimated Funding (\$):

* a. Federal	718,500.00
* b. Applicant	
* c. State	
* d. Local	
* e. Other	
* f. Program Income	
* g. TOTAL	718,500.00

* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)

- Yes No

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: Ms. * First Name: Linda
Middle Name: B.
* Last Name: Aebli
Suffix:

* Title: Executive Director

* Telephone Number: (570) 348 4216 Fax Number: (570) 348 4123

* Email: laebli@scranton.gov

* Signature of Authorized Representative: Completed by Grants.gov upon submission. * Date Signed: Completed by Grants.gov upon submission.

Application for Federal Assistance SF-424

Version 02

*** Applicant Federal Debt Delinquency Explanation**

The following field should contain an explanation if the Applicant organization is delinquent on any Federal Debt. Maximum number of characters that can be entered is 4,000. Try and avoid extra spaces and carriage returns to maximize the availability of space.

Other Attachment File(s)

* Mandatory Other Attachment Filename:

To add more "Other Attachment" attachments, please use the attachment buttons below.

Project/Performance Site Location(s)

Project/Performance Site Primary Location I am submitting an application as an individual, and not on behalf of a company, state, local or tribal government, academia, or other type of organization.

Organization Name:

DUNS Number:

* Street1:

Street2:

* City: County:

* State:

Province:

* Country:

* ZIP / Postal Code: * Project/ Performance Site Congressional District:

Project/Performance Site Location 1 I am submitting an application as an individual, and not on behalf of a company, state, local or tribal government, academia, or other type of organization.

Organization Name:

DUNS Number:

* Street1:

Street2:

* City: County:

* State:

Province:

* Country:

* ZIP / Postal Code: * Project/ Performance Site Congressional District:

Project/Performance Site Location 2 I am submitting an application as an individual, and not on behalf of a company, state, local or tribal government, academia, or other type of organization.

Organization Name:

DUNS Number:

* Street1:

Street2:

* City: County:

* State:

Province:

* Country:

* ZIP / Postal Code: * Project/ Performance Site Congressional District:

Project/Performance Site Location(s)

Project/Performance Site Location 3

I am submitting an application as an individual, and not on behalf of a company, state, local or tribal government, academia, or other type of organization.

Organization Name:

DUNS Number:

* Street1:

Street2:

* City: County:

* State:

Province:

* Country:

* ZIP / Postal Code:

* Project/ Performance Site Congressional District:

Project/Performance Site Location 4

I am submitting an application as an individual, and not on behalf of a company, state, local or tribal government, academia, or other type of organization.

Organization Name:

DUNS Number:

* Street1:

Street2:

* City: County:

* State:

Province:

* Country:

* ZIP / Postal Code:

* Project/ Performance Site Congressional District:

Project/Performance Site Location 5

I am submitting an application as an individual, and not on behalf of a company, state, local or tribal government, academia, or other type of organization.

Organization Name:

DUNS Number:

* Street1:

Street2:

* City: County:

* State:

Province:

* Country:

* ZIP / Postal Code:

* Project/ Performance Site Congressional District:

Project/Performance Site Location(s)

Project/Performance Site Location 6

I am submitting an application as an individual, and not on behalf of a company, state, local or tribal government, academia, or other type of organization.

Organization Name:

DUNS Number:

* Street1:

Street2:

* City: County:

* State:

Province:

* Country:

* ZIP / Postal Code:

* Project/ Performance Site Congressional District:

Project/Performance Site Location 7

I am submitting an application as an individual, and not on behalf of a company, state, local or tribal government, academia, or other type of organization.

Organization Name:

DUNS Number:

* Street1:

Street2:

* City: County:

* State:

Province:

* Country:

* ZIP / Postal Code:

* Project/ Performance Site Congressional District:

EECBG Activity Worksheet

Grantee: City of Scranton, Pennsylvania Date: 06/25/2009
 DUNS #: 60497856 Program Contact Email: laebli@scrantonpa.gov
 Program Contact First Name: Linda Last Name: Aebli
 Project Title: The City of Scranton Energy Efficiency Plan
 Activity: 1. Energy Efficiency and Conservation Strategy If Other: _____
 Sector: Institutional If Other: _____
 Proposed Number of Jobs Created: _____ Proposed Number of Jobs Retained: _____
 Proposed Energy Saved and/or Renewable Energy Generated: _____
 Proposed GHG Emissions Reduced (CO2 Equivalents): _____
 Proposed Funds Leveraged: _____
 Proposed EECBG Budget: 19,500.00
 Projected Costs Within Budget: Administration: \$0.00 Revolving Loans: \$0.00 Subgrants: \$0.00
 Project Contact First Name: Linda Last Name: Aebli Email: laebli@scrantonpa.gov
 Metric Activity: Technical Assistance If Other: _____

Project Summary: (limit summary to space provided)

EECBG program funds will allow the City of Scranton to support projects with the highest quantitative score that includes city wide sustainable economic development, creation and retention of jobs, emission offsets, and energy conservation.

The City of Scranton's strategy focuses on reducing the energy consumption of city facilities as well as create new job opportunities and stimulate economic growth for our city. The EECBG consists of the following:

1. Summarize measurable goals and objectives in alignment with the defined purposes and eligible activities under the EECBG guidelines
2. Implementation plans for the use of EECBG funds to assist the city in achieving the goals and objectives outlined in the Activity Worksheets
3. Description of coordination between the state and the city government regarding energy efficiency activities
4. Design a comprehensive plan to ensure that the energy efficiency activities undertaken will sustain benefits beyond EECBG funding period
5. Design a set of procedures to ensure accountability and transparency for use of funds

This effort will encourage city wide synergies to enhance the sustainability of projects well beyond the funding period.

Scranton OECD will report to the DOE on a regular basis using three measures of success.

1. Jobs created and retained
2. Energy savings on a per dollar invested basis
3. Greenhouse gas emission reduced

The accounting procedures to be established will ensure transparency and guarantee that taxpayer's dollars are put to good use.

EECBG Activity Worksheet

Grantee: City of Scranton, Pennsylvania Date: 06/25/2009
DUNS #: 60497856 Program Contact Email: laebli@scrantonpa.gov
Program Contact First Name: Linda Last Name: Aebli
Project Title: The City of Scranton Energy Efficiency Plan
Activity: 6. Buildings and Facilities If Other: _____
Sector: Institutional If Other: _____
Proposed Number of Jobs Created: 1.00 Proposed Number of Jobs Retained: 1.00
Proposed Energy Saved and/or Renewable Energy Generated: _____
Proposed GHG Emissions Reduced (CO2 Equivalents): _____
Proposed Funds Leveraged: _____
Proposed EECBG Budget: 17,000.00
Projected Costs Within Budget: Administration: \$2,000.00 Revolving Loans: \$0.00 Subgrants: \$0.00
Project Contact First Name: Linda Last Name: Aebli Email: laebli@scrantonpa.gov
Metric Activity: Workshops, Training, and Education If Other: _____

Project Summary: *(limit summary to space provided)*

Educating the City of Scranton about using energy wisely is a top priority for the City's Energy Office. We will engage in several projects designed to improve the awareness of energy efficiency throughout the city.

As part of the energy conservation programs, Scranton will organize seminars at local facilities to educate the public on energy conservation and its impact on the environment. We will also create a marketing brochure on energy conservation that will be distributed throughout the city. Scranton projects that this initiative will cost the city \$17,000.

Overall the city estimates that the above activities will create a total of 1 direct job and 3 indirect jobs.

The City of Scranton will need to be proactive in effectively educating the future generations on energy efficiency practices. Such action will have a great impact on our citizen's attitude and behavior towards energy consumption, which will provide a sustainable future for America.

The City of Scranton
Energy Efficiency & Conservation Block Grant
Budget Justification

The City of Scranton, Pennsylvania proposes to utilize 100% of the funds to implement the following four energy efficiency projects that will be sustainable for at least 10 years.

Grant Activities	Amount
Development of EECS	\$19,500.00
City Building Energy Audits	\$150,000.00
Energy Efficiency Retrofits	\$532,000.00
Energy Efficiency and Conservation Programs for Buildings and Facilities	\$17,000.00
Total	\$718,500.00

Development of EECS

The City of Scranton has developed a comprehensive EECS with assistance from Commonwealth Energy Group, LLC. (an Energy Service Company (ESCO)). Our focus is to improve the overall energy efficiency of our buildings as well as educate local citizens on using energy wisely.

City Building Energy Audits

The City of Scranton will contract with an Energy Service Company (ESCO) to perform detailed energy audits for the following facilities to determine the current amount of energy consumed and estimate the amount of energy that can be saved. These facilities are as follows:

Building	Estimated Cost of Energy Audit
Weston Field House	\$28,000
Firehouse Rescue # 1	\$16,000
Firehouse Engine co. 2	\$16,000
Firehouse Engine co. 7	\$16,000
Firehouse Engine co. 8	\$16,000
Firehouse Engine co. 9	\$16,000
Firehouse Engine co. 10	\$16,000
Firehouse Engine co. 15	\$16,000
Administrative Cost	\$10,000
Total	\$150,000.00

Energy Efficiency Retrofits

As part of the City of Scranton Energy Efficiency Plan (CSEEP), \$532,000 has been allocated to retrofits and will be split among the following facilities:

1. \$150,000 for replacing the windows at the Weston Field House to energy star certified windows
2. \$75,000 for upgrading the HVAC equipment at the Weston Field House
3. \$84,000 to install energy efficient retrofit lighting systems at the Weston Field House
4. \$140,000 to install energy efficient retrofit lighting systems in seven firehouses

5. \$25,000 for replacing the boiler at the Silkman House Library
6. \$58,000 for administrative cost

Projects	Amount
Window Replacement at the Weston Field House	
Material	\$98,000
Labor	\$52,000
Total	\$150,000
Replace existing HVAC equipment at the Weston Field House	
Material	\$26,250
Labor	\$48,750
Total	\$75,000
Installation of Energy Efficient Retrofit Lighting System at the Weston Field House	
Material	\$30,000
Labor	\$54,000
Total	\$84,000
Installation of Energy Efficient Retrofit Lighting System at the Firehouses	
Material	\$55,000
Labor	\$85,000
Total	\$140,000
Replace existing boiler at the Silkman House Library	
Material	\$8,750
Labor	\$16,250
Total	\$25,000
Administrative Cost	\$58,000
Total Cost for Energy Efficiency Retrofits	\$532,000

Energy Efficiency and Conservation Programs for Buildings and Facilities

\$17,000 has been allocated to set up education and training programs at our local facilities to educate our citizens on energy efficiency practices. The breakdown is as follows:

Education and Training Programs	Amount
Set up Cost	\$3,000
Assign an educator to implement new programs and develop marketing brochures to be distributed throughout the city	\$12,000
Administrative Cost	\$2,000
Total	\$17,000

FINANCIAL MANGEMENT ASSESSMENT

This assessment should be completed, signed and certified by the Applicant's Financial Officer.

- | | YES | NO |
|--|-------------------------------------|-------------------------------------|
| 1. Have you previously done business with DOE? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| 2. Have you previously done business with any other Federal Agency?
If so, please identify: | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| HUD | | |
| 3. Can the Applicant's Financial Officer or Independent Auditor certify that the Applicant has a financial management system sufficient to meet the requirements of 10 CFR 600.220?
If yes, please skip to question #10 and sign/certify below. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 4. Does your accounting system have the ability to track costs on a reimbursable basis? | <input type="checkbox"/> | <input type="checkbox"/> |
| 5. Does your system allow for accurate, current and complete financial reporting, and record keeping as well as the maintaining of adequate source documentation? | <input type="checkbox"/> | <input type="checkbox"/> |
| 6. Does your system allow for effective internal controls and accountability? | <input type="checkbox"/> | <input type="checkbox"/> |
| 7. Does your system allow for effective and efficient cash management procedures? | <input type="checkbox"/> | <input type="checkbox"/> |
| 8. Does your system prohibit subaward at any tier to any party which is debarred, suspended or otherwise excluded from or ineligible for participation in Federal assistance programs?
<input type="checkbox"/> | | <input type="checkbox"/> |
| 9. The expenditure of \$500,000 or more of Federal funds in a fiscal year requires an organization to have an audit performed in accordance with OMB Circular A-133.
Has your organization had such an audit performed? | <input type="checkbox"/> | <input type="checkbox"/> |
| 10. If yes, please provide the most recent report or a copy of the SF-SAC forms filed with the Federal Audit Clearinghouse. If no, proceed to the next statement and certify by checking the YES block. | | |
| I understand the audit requirements and will comply with the provisions of OMB Circular A-133. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

Kevin Mitchell Director of Finance 5703464216 *KM* 06/22/2009
PRINTED NAME, TITLE AND PHONE NUMBER OF INDIVIDUAL COMPLETING FORM DATE
By signing this form, the above individual certifies that the responses provided to this survey are accurate as of the date.

If "NO" has been selected for any of the statements above, please provide further explanation on page 2.

FINANCIAL MANAGEMENT ASSESSMENT – continuation page if further explanation is needed

The City of Scranton has had no dealings with DOE

Attachment D

Energy Efficiency & Conservation Strategy for Units of Local Governments & Indian Tribes

As detailed in Part 1 of this announcement, all applicants must submit an Energy Efficiency and Conservation Strategy (EECS). Units of local government and Indian tribes have the option of submitting the EECS no later than 120 days after the effective date of the award or at the time of application. Units of local government and Indian tribes who chose to submit the EECS at the time of application shall use the format contained in Attachment D. This form should be saved in a file named "UIC-Strategy.pdf" and click on "Add Optional Other Attachment" to attach.

Grantee: City of Scranton, Pennsylvania Date: 06/25/2009 (mm/dd/yyyy)
DUNS #: 60497856 Program Contact Email: laebli@scrantonpa.gov

1. Describe your government's proposed Energy Efficiency and Conservation Strategy. Provide a concise summary of your measurable goals and objectives, which should be aligned with the defined purposes and eligible activities of the EECBG Program. These goals and objectives should be comprehensive and maximize benefits community-wide. Provide a schedule or timetable for major milestones. If your government has an existing energy, climate, or other related strategy please describe how these strategies relate to each other.

The state of Pennsylvania is one of the main contributors to the current emission levels. Pennsylvania alone may be within the top 25 countries for greenhouse gas emissions. (Pennsylvania Environmental Council, Pennsylvania Climate Change Roadmap, 2007) With this in mind, the City of Scranton believes that they are responsible for lowering the current emission levels, which brings about The City of Scranton Energy Efficiency Plan (CSEEP). The CSEEP provides an outline for improving energy efficiency, reducing the current greenhouse gas emissions, and distinguishing the city's goals for energy independence.

The CSEEP has the specific goals to:

1. Improve the energy efficiency of existing facilities which will lower the overall energy costs
2. Develop a plan to continuously improve facility operations to meet higher standards for energy efficiency
3. Promote, implement, and sustain energy efficient practices throughout our community

The first and foremost priorities of the CSEEP is to:

1. Lower energy consumption by 35% by year 2012
2. Reduce the County's GHG emissions (Co2 equivalent) by 104 tons every year until 2012. We also target on reducing our GHG emission by up to 20% by year 2015.

The CSEEP provides a comprehensive list of energy efficiency projects that will result in substantial energy cost savings, create both direct and indirect jobs for our city, and develop of a community-wide effort towards energy conservation through our education programs.

2. Describe your government's proposed implementation plan for the use of EECBG Program funds to assist you in achieving the goals and objectives outlined in the strategy describe in question #1. Your description should include a summary of the activities submitted on your activity worksheets, and how each activity supports one or more of your strategy's goals/objectives.

The EECBG funds will support our energy and greenhouse gas emission reduction efforts through the CSEEP. The activities proposed are in alignment with the specific purpose and goals of the EECBG program.

The energy efficiency activities that Scranton plans to implement include:

1. Conduct energy audits to city facilities (Weston Field House, Silkman House Library, Firehouse Rescue # 1, Firehouse Engine Co.# 2, #7, #8, #9, #10, #15)
2. Installation of energy efficient retrofit lighting systems in the following buildings:
 - a. Weston Field House
 - b. Firehouse Rescue # 1
 - c. Firehouse Engine Co. # 2
 - d. Firehouse Engine Co. # 7
 - e. Firehouse Engine Co. # 8
 - f. Firehouse Engine Co. # 9
 - g. Firehouse Engine Co. # 10
 - h. Firehouse Engine Co. # 15
3. Replacement of existing single-pane (non-energy rated) windows at the Weston Field House to energy star certified windows
4. Replace existing HVAC equipment at the Weston Field House
5. Replace existing boiler at the Silkman House Library
6. Conduct seminars at local facilities and develop marketing tools to educate our community on energy efficiency practices

By implementing the above activities, Scranton will be able to achieve its goals as set in question 1.

3. Describe how your government is taking into account the proposed implementation plans and activities for use of funds by adjacent units of local government that are grant recipients under the Program (response not mandatory for Indian Tribes).

The City of Scranton has always been in great relationships with nearby cities and counties. We will continue to initiate meetings with our adjacent neighbors to see if we can collaborate in educating our citizens on energy conservation. The benefits of meeting with our adjacent neighbors is to discuss each others objectives and to expand the thought process to achieve energy goals, methods, and savings. We will also help our neighbors find well experienced Energy Audit (EA) firms that are located in our area. In addition, we will recommend Energy Service Companies (ESCO) to assist them with any energy efficiency related activities that are in alignment with EECBG guidelines.

4. Describe how your government will coordinate and share information with the state in which you are located regarding activities carried out with grant funds to maximize energy efficiency and conservation benefits (response not mandatory for Indian Tribes).

The City of Scranton recognizes the value of peer-to-peer information sharing with the state of Pennsylvania. Scranton will create a "Step 1 towards Energy Efficiency" page on the city's website dedicated to share information regarding the energy efficiency activities and their progress carried out with the EECBG funds. We will host meetings to discuss the progress of CSEEP and welcome any State Energy Office representative to attend. In addition, Scranton will publish active reports that will be available to the public via city's website.

5. Describe how this plan has been designed to ensure that it sustains benefits beyond the EECBG funding period.

The City of Scranton understands the importance of making "good" investments that will last far beyond EECBG funding period. CSEEP has been developed with this concept in mind and can assure that the money being spent in various energy efficiency projects will provide sustainable benefits for years to come.

Scranton will perform regular maintenance to the projects to ensure the estimated useful life of the installed equipment be achieved. A project manager will be assigned to oversee the regular maintenance and to track, measure, and verify that money is spent constructively.

All projects implemented with the EECBG fund have a relatively long lifetime. For example, the energy efficiency retrofit lighting system has an expected useful life of 36,000 hours. The HVAC system to be installed in Weston Field House will be expected to last for 20 - 25 years. The energy star certified windows going into Weston Field House should last well beyond two decades.

The seminars to be conducted at local facilities will educate the community on energy efficiency practices. We hope to educate and change our townspeople's way of using energy in order to collectively lower the total energy cost of our city. With a change in the behaviors of using energy, this will lead to large-scale changes in energy consumption and conservation that will be sustainable in the long run.

6. The President has made it clear that every taxpayer dollar spent on our economic recovery must be subject to unprecedented levels of transparency and accountability. Describe the auditing or monitoring procedures currently in place or that will be in place (by what date), to ensure funds are used for authorized purposes and every step is taken to prevent instances of fraud, waste, error, and abuse.

As mentioned above, the City of Scranton will create a "Step 1 towards Energy Efficiency" page on our city's website that lists all pertinent information regarding each individual projects created by the EECBG fund. Reports will be made available to the public on this page as well.

Information regarding the projects funded by the EECBG will include but are not limited to:

Project

- Project Title and Objective
- Capital allocated to each project
- Expected start and finish dates

Grant Accounting

- Create and maintain worksheets of material and labor relative to each project
- Review invoices and obtain approval from authorized personnel to pay for the related costs
- Maintain a worksheet of fund distribution
- Compare accumulated cost with estimated budget

Auditing

- Hire external auditors to perform annual audits as required for Federal grants (OMB Circular A-133)
- Conduct comprehensive audits on all projects after their completion

Transparency is of utmost importance to make sure taxpayer's dollars are put to good use. The City of Scranton will make every effort to prevent instances of fraud, waste, error, and abuse of EECBG funding.

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

<p>* SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL</p> <p><i>Samuel B. Aubli</i></p> <p>Completed on submission to Grants.gov</p>	<p>* TITLE</p> <p><i>Executive Director</i></p>
<p>* APPLICANT ORGANIZATION</p> <p><i>City of Scranton DECD</i></p>	<p>* DATE SUBMITTED <i>JUNE 22, 2009</i></p> <p>Completed on submission to Grants.gov</p>

City of Scranton
Assurances

As the duly authorized representative of City of Scranton, I certify that the City has the legal authority to apply for, receive fund, and implement the EECBG program.

<u>SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL</u> <i>Linda B Aebli</i>	<u>TITLE</u> <i>Executive Director</i>
<u>APPLICANT ORGANIZATION</u> <i>City of SCRANTON</i>	<u>DATE SUBMITTED</u> <i>6/23/2009</i>

Applicant Name: City of Scranton, Pennsylvania

Award Number:

Budget Information - Non Construction Programs

OMB Approval No. 0348-0044

Section A - Budget Summary		Estimated Unobligated Funds				New or Revised Budget		Total
Grant Program Function or Activity	Catalog of Federal Domestic Assistance Number	Federal	Non-Federal	Federal	Non-Federal			Total (g)
(a)	(b)	(c)	(d)	(e)	(f)			(g)
1. Development of EECS	81.128			\$19,500				\$19,500
2. Energy Audits	81.128			\$150,000				\$150,000
3. Energy Efficiency Retrofits	81.128			\$532,000				\$532,000
4. Buildings and Facilities	81.128			\$17,000				\$17,000
5. Totals			\$0	\$718,500			\$0	\$718,500
Section B - Budget Categories								
6. Object Class Categories		Grant Program, Function or Activity						Total (5)
		(1) Development of EECS	(2) Energy Audits	(3) Energy Efficiency Retrofits	(4) Buildings & Facilities			
a. Personnel			\$10,000	\$58,000	\$2,000			\$70,000
b. Fringe Benefits								\$0
c. Travel								\$0
d. Equipment								\$0
e. Supplies								\$0
f. Contractual		\$19,500	\$140,000					\$159,500
g. Construction				\$474,000				\$474,000
h. Other					\$15,000			\$15,000
i. Total Direct Charges (sum of 6a-6h)		\$19,500	\$150,000	\$532,000	\$17,000			\$718,500
j. Indirect Charges								\$0
k. Totals (sum of 6i-6j)		\$19,500	\$150,000	\$532,000	\$17,000			\$718,500
7. Program Income								\$0

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SF-424A (Rev. 4-92)
Prescribed by OMB Circular A-102

Section C - Non-Federal Resources

	(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) Totals
8.					\$0
9.					\$0
10.					\$0
11.					\$0
12. Total (sum of lines 8 - 11)		\$0	\$0	\$0	\$0

Section D - Forecasted Cash Needs

	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th quarter
13. Federal	\$708,500	\$164,500	\$125,000	\$206,500	\$212,500
14. Non-Federal	\$0				
15. Total (sum of lines 13 and 14)	\$708,500	\$164,500	\$125,000	\$206,500	\$212,500

Section E - Budget Estimates of Federal Funds Needed for Balance of the Project

	(a) Grant Program	Future Funding Periods (Years)			
		(b) First	(c) Second	(d) Third	(e) Fourth
16. Buildings and Facilities		\$5,000	\$5,000		
17.					
18.					
19.					
20. Total (sum of lines 16-19)		\$5,000	\$5,000		\$0

Section F - Other Budget Information

21. Direct Charges

22. Indirect Charges

23. Remarks

Instructions for the SF-424A

Public Reporting Burden for this collection of information is estimated to average 3.0 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Please do not return your completed form to the Office of Management and Budget; send it to the address provided by the sponsoring agency.

General Instructions

This form is designed so that application can be made for funds from one or more grant programs. In preparing the budget, adhere to any existing Federal grantor agency guidelines which prescribe how and whether budgeted amounts should be separately shown for different functions or activities within the program. For some programs, grantor agencies may require budgets to be separately shown by function or activity. For other programs, grantor agencies may require a breakdown by function or activity. Sections A, B, C, and D should include budget estimates for the whole project except when applying for assistance which requires Federal authorization in annual or other funding period increments. In the later case, Sections A, B, C, and D should provide the budget for the first budget period (usually a year) and Section E should present the need for Federal assistance in the subsequent budget periods. All applications should contain a breakdown by the object class categories shown in Lines a-k of Section B.

Section A. Budget Summary Lines 1-4 Columns (a) and (b)

For applications pertaining to a single Federal grant program (Federal Domestic Assistance Catalog number) and not requiring a functional or activity breakdown, enter on Line 1 under Column (a) the catalog program title and the catalog number in Column (b).

For applications pertaining to a single program requiring budget amounts by multiple functions or activities, enter the name of each activity or function on each line in Column (a), and enter the catalog number in Column (b). For applications pertaining to multiple programs where none of the programs require a breakdown by function or activity, enter the catalog program title on each line in Column (a) and the respective catalog number on each line in Column (b).

For applications pertaining to multiple programs where one or more programs require a breakdown by function or activity, prepare a separate sheet for each program requiring the breakdown. Additional sheets should be used when one form does not provide adequate space for all breakdown of data required. However, when more than one sheet is used, the first page should provide the summary totals by programs.

Lines 1-4, Columns (c) through (g)

For new applications, leave Columns (c) and (d) blank. For each line entry in Columns (a) and (b), enter in Columns (e), (f), and (g) the appropriate amounts of funds needed to support the project for the first funding period (usually a year).

For continuing grant program applications, submit these forms before the end of each funding period as required by the grantor agency. Enter in Columns (c) and (d) the estimated amounts of funds which will remain unobligated at the end of the grant funding period only if the Federal grantor agency instructions provide for this. Otherwise, leave these columns blank. Enter in columns (e) and (f) the amounts of funds needed for the upcoming period. The amount(s) in Column (g) should be the sum of amounts in Columns (e) and (f).

For supplemental grants and changes to existing grants, do not use Columns (c) and (d). Enter in Column (e) the amount of the increase or decrease of Federal funds and enter in Column (f) the amount of the increase or decrease of non-Federal funds. In Column (g) enter the new total budgeted amount (Federal and non-Federal) which includes the total previous authorized budgeted amounts plus or minus, as appropriate, the amounts shown in Columns (e) and (f). The amount(s) in Column (g) should not equal the sum of amounts in Columns (e) and (f).

Line 5—Show the totals for all columns used.

Section B. Budget Categories

In the column headings (a) through (4), enter the titles of the same programs, functions, and activities shown on Lines 1-4, Column (a), Section A. When additional sheets are prepared for Section A, provide similar column headings on each sheet. For each program, function or activity, fill in the total requirements for funds (both Federal and non-Federal) by object class categories.

Lines 6a-i—Show the totals of Lines 6a to 6h in each column.

Line 6j—Show the amount of indirect cost.

Line 6k—Enter the total of amounts on Lines 6i and 6j. For all applications for new grants and continuation grants the total amount in column (5), Line 6k, should be the same as the total amount shown in Section A, Column (g), Line 5. For supplemental grants and changes to grants, the total amount of the increase or decrease as shown in Columns (1)-(4), Line 6k should be the same as the sum of the amounts in Section A, Columns (e) and (f) on Line 5.

Line 7—Enter the estimated amount of income, if any, expected to be generated from this project. Do not add or subtract this amount from the total project amount. Show under the program narrative statement the nature and source of income. The estimated amount of program income may be considered by the federal grantor agency in determining the total amount of the grant.

Section C. Non-Federal Resources

Lines 8-11—Enter amounts of non-Federal resources that will be used on the grant. If in-kind contributions are included, provide a brief explanation on a separate sheet.

Column (a)—Enter the program titles identical to Column (a), Section A. A breakdown by function or activity is not necessary.

Column (b)—Enter the contribution to be made by the applicant.

Column (c)—Enter the amount of the State's cash and in-kind contribution if the applicant is not a State or State agency. Applicants which are a State or State agencies should leave this column blank.

Column (d)—Enter the amount of cash and in-kind contributions to be made from all other sources.

Column (e)—Enter totals of Columns (b), (c), and (d).

Line 12—Enter the total for each of Columns (b)-(e). The amount in Column (e) should be equal to the amount on Line 5, Column (f) Section A.

Section D. Forecasted Cash Needs

Line 13—Enter the amount of cash needed by quarter from the grantor agency during the first year.

Line 14—Enter the amount of cash from all other sources needed by quarter during the first year.

Line 15—Enter the totals of amounts on Lines 13 and 14.

Section E. Budget Estimates of Federal Funds Needed for Balance of the Project

Lines 16-19—Enter in Column (a) the same grant program titles shown in Column

(a), Section A. A breakdown by function or activity is not necessary. For new applications and continuation grant applications, enter in the proper columns amounts of Federal funds which will be needed to complete the program or project over the succeeding funding periods (usually in years). This section need not be completed for revisions (amendments, changes, or supplements) to funds for the current year of existing grants. If more than four lines are needed to list the program titles, submit additional schedules as necessary.

Line 20—Enter the total for each of the Columns (b)-(e). When additional schedules are prepared for this Section, annotate accordingly and show the overall totals on this line.

Section F. Other Budget Information

Line 21—Use this space to explain amounts for individual direct object-class cost categories that may appear to be out of the ordinary or to explain the details as required by the Federal grantor agency.

Line 22—Enter the type of indirect rate (provisional, predetermined, final or fixed) that will be in effect during the funding period, the estimated amount of the base to which the rate is applied, and the total indirect expense.

Line 23—Provide any other explanations or comments deemed necessary.

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